

# Recursion

## Section 3.5



# The Recursion Pattern

- ❑ **Recursion**: when a method calls itself
- ❑ Classic example: the **factorial** function:

$$n! = 1 \cdot 2 \cdot 3 \cdot \cdots \cdot (n-1) \cdot n$$

- ❑ Recursive definition:

$$f(n) = \begin{cases} 1 & \text{if } n = 0 \\ n \cdot f(n-1) & \text{else} \end{cases}$$

- ❑ As a C++ method:

```
// recursive factorial function
int recursiveFactorial(int n) {
    if (n == 0) return 1; // basis case
    else return n * recursiveFactorial(n - 1); // recursive case
}
```

# Content of a Recursive Method

## ❑ Base case(s)

- Values of the input variables for which we perform no recursive calls are called **base cases** (there should be at least one base case).
- Every possible chain of recursive calls **must** eventually reach a base case.

## ❑ Recursive calls

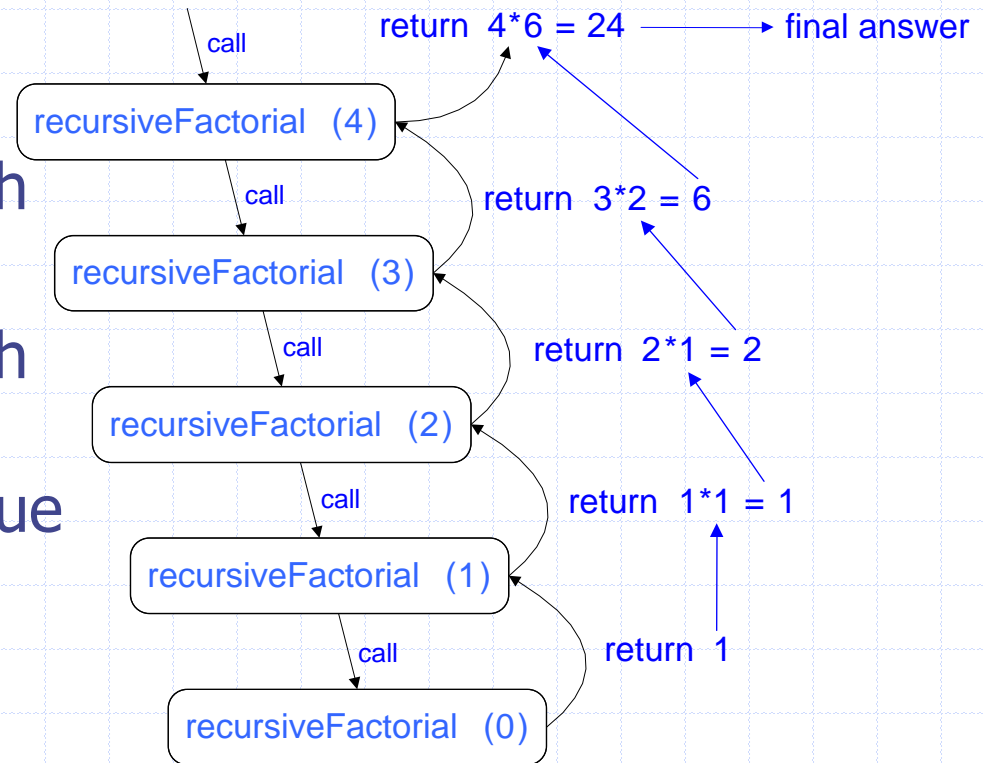
- Calls to the current method.
- Each recursive call should be defined so that it makes progress towards a base case.

# Visualizing Recursion

## □ Recursion trace

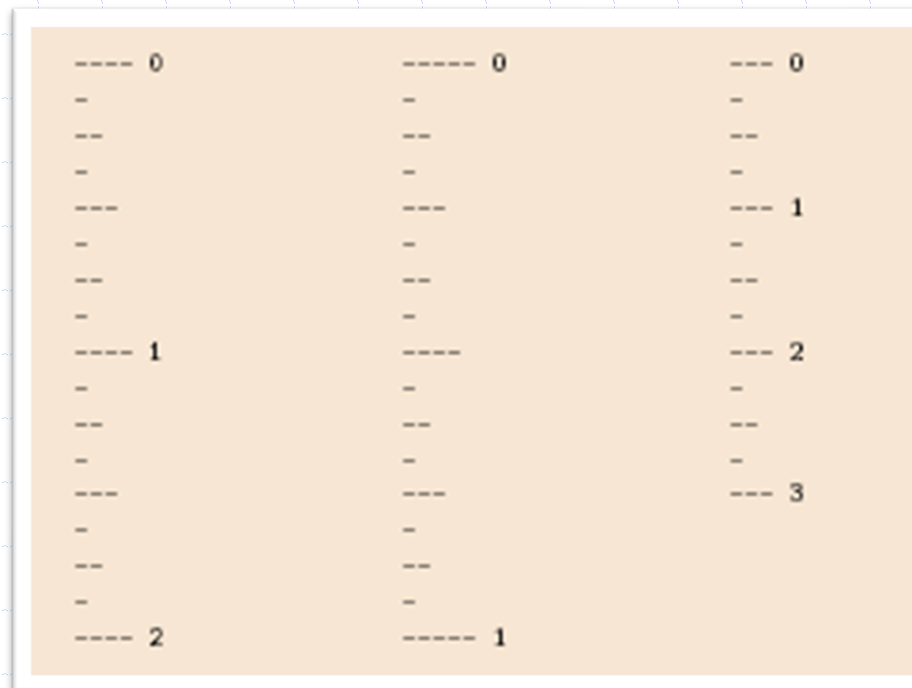
- A box for each recursive call
- An arrow from each caller to callee
- An arrow from each callee to caller showing return value

## □ Example



# Example: English Ruler

- Print the ticks and numbers like an English ruler:

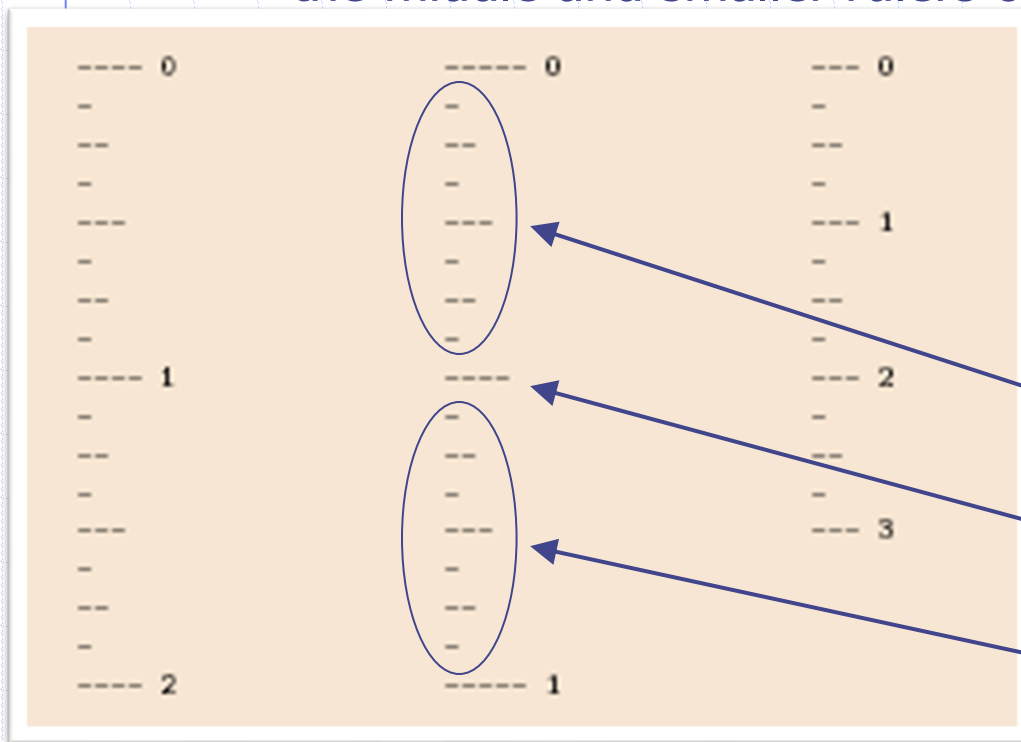


# Using Recursion

`drawTicks(length)`

Input: length of a 'tick'

Output: ruler with tick of the given length in the middle and smaller rulers on either side



`drawTicks(length)`

if( length > 0 ) then

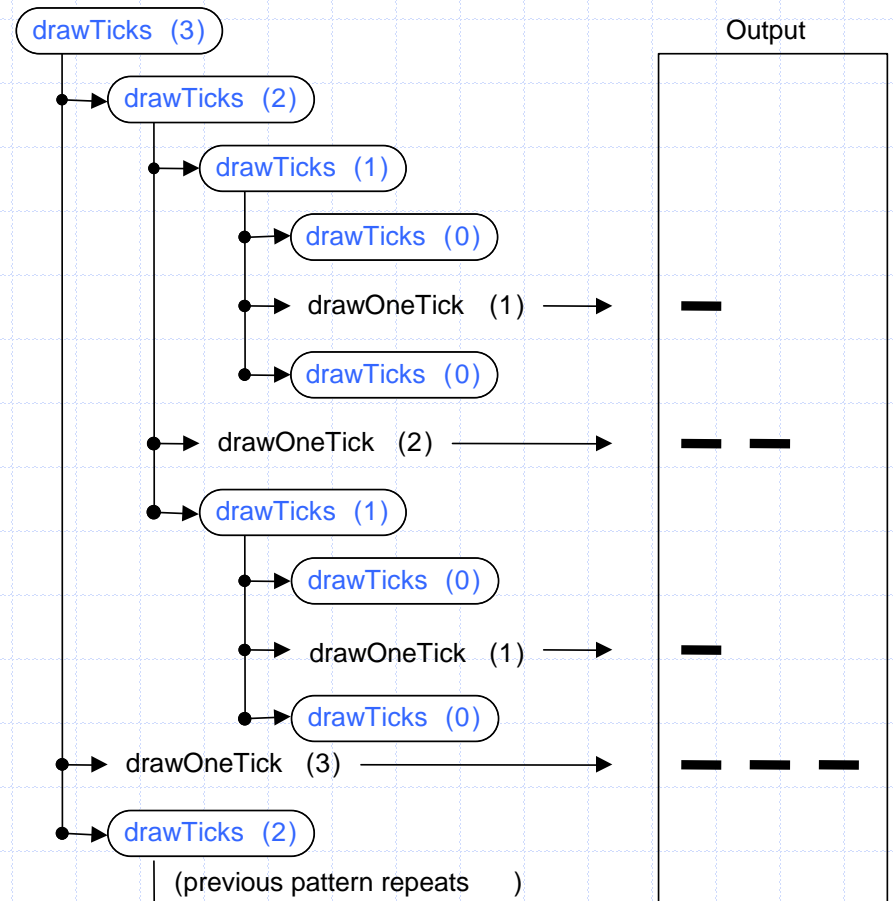
`drawTicks( length - 1 )`

draw tick of the given length

`drawTicks( length - 1 )`

# Recursive Drawing Method

- ❑ The drawing method is based on the following recursive definition
- ❑ An interval with a central tick length  $L \geq 1$  consists of:
  - An interval with a central tick length  $L-1$
  - An single tick of length  $L$
  - An interval with a central tick length  $L-1$



# C++ Implementation (1)

// draw ruler

```
void drawRuler(int nInches, int majorLength) {  
    drawOneTick(majorLength, 0);           // draw tick 0 and its label  
    for (int i = 1; i <= nInches; i++) {  
        drawTicks(majorLength - 1);        // draw ticks for this inch  
        drawOneTick(majorLength, i);       // draw tick i and its label  
    }  
}
```

// draw ticks of given length

```
void drawTicks(int tickLength) {  
    if (tickLength > 0) {  
        drawTicks(tickLength - 1);         // stop when length drops to 0  
        drawOneTick(tickLength);           // recursively draw left ticks  
        drawTicks(tickLength - 1);         // draw center tick  
    }                                       // recursively draw right ticks  
}
```



# C++ Implementation (2)

// draw a tick with no label

```
void drawOneTick(int tickLength) {  
    drawOneTick(tickLength, - 1);  
}
```

// draw one tick

```
void drawOneTick(int tickLength, int tickLabel) {  
    for (int i = 0; i < tickLength; i++) {  
        cout << "-";  
    }  
  
    if (tickLabel >= 0) {  
        cout << " " << tickLabel;  
    }  
  
    cout << "\n";  
}
```

# Recursion Examples

Example 3.2 in the text: Programming languages are often defined in a recursive way. We can define an argument list in C++ as follows:

*argument-list:*     $\epsilon$   
                      *nonempty-argument-list*

*nonempty-argument-list:*    *argument*  
                                  *nonempty-argument-list* , *argument*

That is, an argument list consists of either (i) the empty string, (ii) an argument, or (iii) a nonempty argument list followed by a comma and an argument.

foo();

bar(14);

bletch(23.1, 'a', 14);

# Example of Linear Recursion

**Algorithm** LinearSum( $A, n$ ):

**Input:**

A integer array  $A$  and an integer  $n = 1$ , such that  $A$  has at least  $n$  elements

**Output:**

The sum of the first  $n$  integers in  $A$

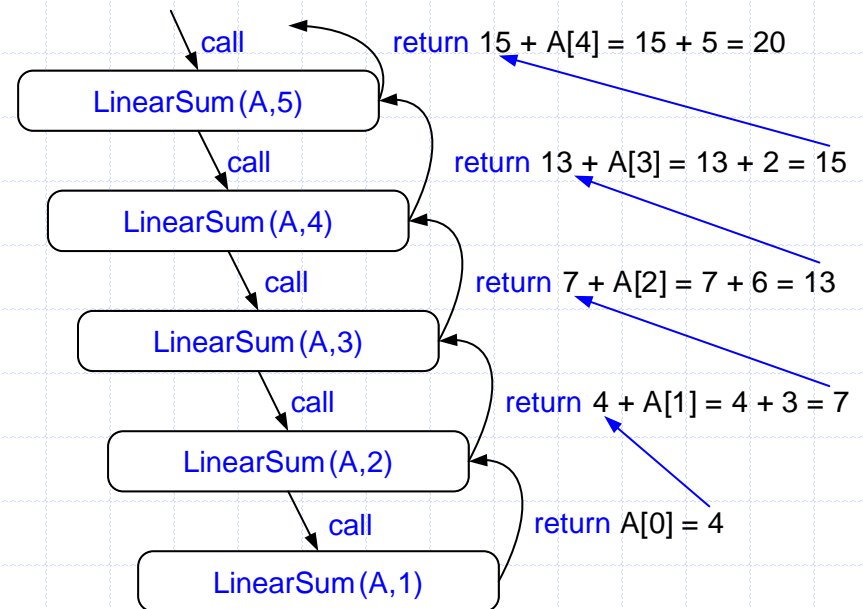
**if**  $n = 1$  **then**

**return**  $A[0]$

**else**

**return** LinearSum( $A, n - 1$ ) +  $A[n - 1]$

**Example recursion trace:**



# Reversing an Array

**Algorithm** ReverseArray( $A, i, j$ ):

***Input:*** An array  $A$  and nonnegative integer indices  $i$  and  $j$

***Output:*** The reversal of the elements in  $A$  starting at index  $i$  and ending at  $j$

**if**  $i < j$  **then**

    Swap  $A[i]$  and  $A[j]$

    ReverseArray( $A, i + 1, j - 1$ )

**return**

# Defining Arguments for Recursion

- ❑ In creating recursive methods, it is important to define the methods in ways that facilitate recursion.
- ❑ This sometimes requires we define additional parameters that are passed to the method.
- ❑ For example, we defined the array reversal method as `ReverseArray( $A, i, j$ )`, not `ReverseArray( $A$ )`.

# Computing Powers

- The power function,  $p(x,n)=x^n$ , can be defined recursively:

$$p(x,n) = \begin{cases} 1 & \text{if } n = 0 \\ x \cdot p(x,n-1) & \text{else} \end{cases}$$

- This leads to an power function that runs in  $O(n)$  time (for we make  $n$  recursive calls).
- We can do better than this, however.

# Recursive Squaring

- We can derive a more efficient linearly recursive algorithm by using repeated squaring:

$$p(x, n) = \begin{cases} 1 & \text{if } n = 0 \\ x \cdot p(x, (n-1)/2)^2 & \text{if } n > 0 \text{ is odd} \\ p(x, n/2)^2 & \text{if } n > 0 \text{ is even} \end{cases}$$

- For example,

$$2^4 = 2^{(4/2)^2} = (2^{4/2})^2 = (2^2)^2 = 4^2 = 16$$

$$2^5 = 2^{1+(4/2)^2} = 2(2^{4/2})^2 = 2(2^2)^2 = 2(4^2) = 32$$

$$2^6 = 2^{(6/2)^2} = (2^{6/2})^2 = (2^3)^2 = 8^2 = 64$$

$$2^7 = 2^{1+(6/2)^2} = 2(2^{6/2})^2 = 2(2^3)^2 = 2(8^2) = 128.$$

# Recursive Squaring Method

**Algorithm** **Power**( $x, n$ ):

**Input:** A number  $x$  and integer  $n = 0$

**Output:** The value  $x^n$

**if**  $n = 0$  **then**

**return** 1

**if**  $n$  is odd **then**

$y = \text{Power}(x, (n - 1)/2)$

**return**  $x \cdot y \cdot y$

**else**

$y = \text{Power}(x, n/2)$

**return**  $y \cdot y$



# Analysis

**Algorithm** **Power**( $x, n$ ):

**Input:** A number  $x$  and integer  $n = 0$

**Output:** The value  $x^n$

**if**  $n = 0$  **then**

**return** 1

**if**  $n$  is odd **then**

$y = \text{Power}(x, (n - 1)/2)$

**return**  $x \cdot y \cdot y$

**else**

$y = \text{Power}(x, n/2)$

**return**  $y \cdot y$

Each time we make a recursive call we halve the value of  $n$ ; hence, we make  $\log n$  recursive calls. That is, this method runs in  $O(\log n)$  time.

It is important that we use a variable twice here rather than calling the method twice.

# Tail Recursion

- ❑ Tail recursion occurs when a linearly recursive method makes its recursive call as its last step.
- ❑ The array reversal method is an example.
- ❑ Such methods can be easily converted to non-recursive methods (which saves on some resources).
- ❑ Example:

**Algorithm** IterativeReverseArray( $A, i, j$ ):

**Input:** An array  $A$  and nonnegative integer indices  $i$  and  $j$

**Output:** The reversal of the elements in  $A$  starting at index  $i$  and ending at  $j$

**while**  $i < j$  **do**

    Swap  $A[i]$  and  $A[j]$

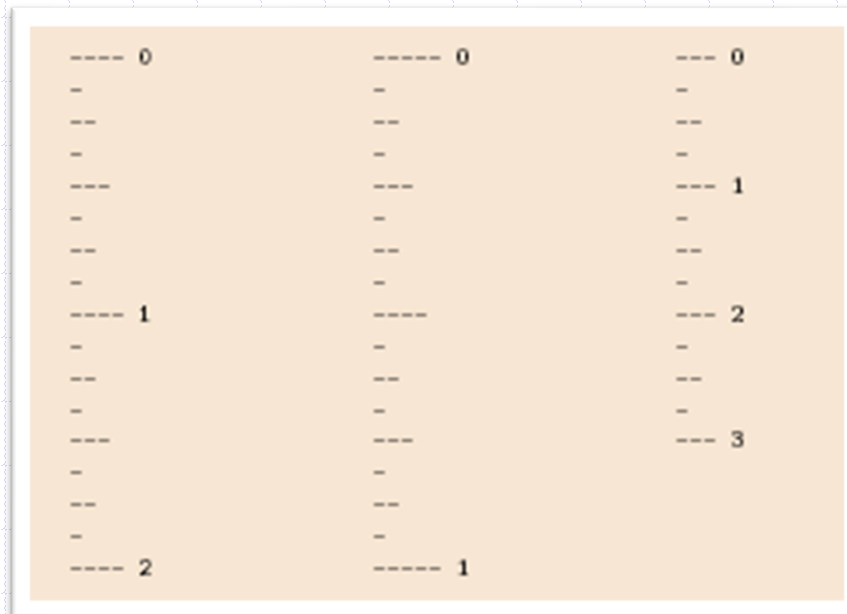
$i = i + 1$

$j = j - 1$

**return**

# Binary Recursion

- ❑ Binary recursion occurs whenever there are **two** recursive calls for each non-base case.
- ❑ Example: the DrawTicks method for drawing ticks on an English ruler.



# A Binary Recursive Method for Drawing Ticks

```
// draw a tick with no label
public static void drawOneTick(int tickLength) { drawOneTick(tickLength, -1); }
// draw one tick
public static void drawOneTick(int tickLength, int tickLabel) {
    for (int i = 0; i < tickLength; i++)
        System.out.print("-");
    if (tickLabel >= 0) System.out.print(" " + tickLabel);
    System.out.print("\n");
}
public static void drawTicks(int tickLength) { // draw ticks of given length
    if (tickLength > 0) {
        drawTicks(tickLength-1); // stop when length drops to 0
        drawOneTick(tickLength); // recursively draw left ticks
        drawTicks(tickLength-1); // draw center tick
    }
}
public static void drawRuler(int nInches, int majorLength) { // draw ruler
    drawOneTick(majorLength, 0); // draw tick 0 and its label
    for (int i = 1; i <= nInches; i++) {
        drawTicks(majorLength-1); // draw ticks for this inch
        drawOneTick(majorLength, i); // draw tick i and its label
    }
}
```

Note the two recursive calls

# Another Binary Recursive Method

- Problem: add all the numbers in an integer array A:

**Algorithm** BinarySum( $A, i, n$ ):

**Input:** An array  $A$  and integers  $i$  and  $n$

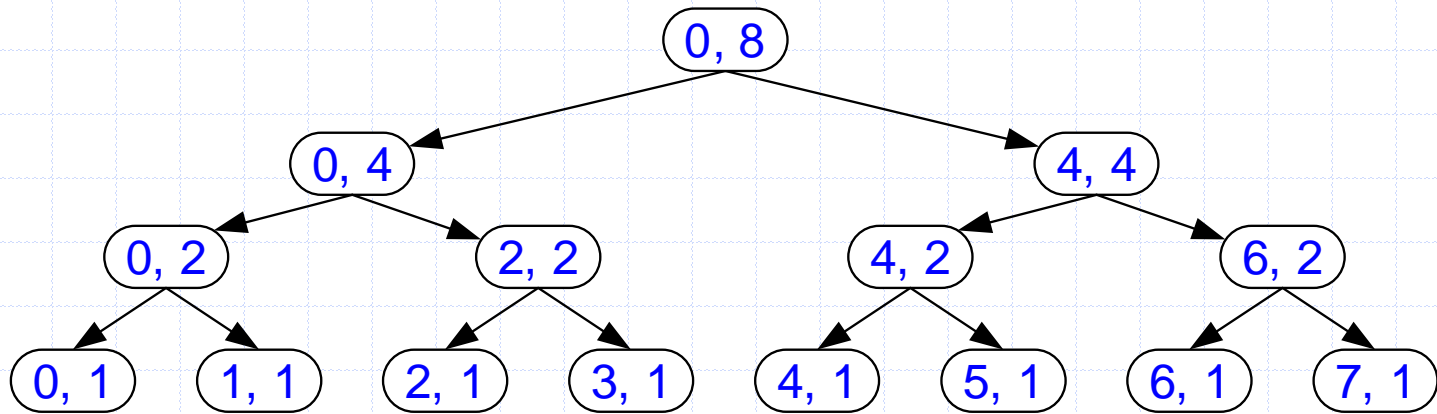
**Output:** The sum of the  $n$  integers in  $A$  starting at index  $i$

**if**  $n = 1$  **then**

**return**  $A[i]$

**return** BinarySum( $A, i, \lfloor n/2 \rfloor$ ) + BinarySum( $A, i + \lfloor n/2 \rfloor, \lfloor n/2 \rfloor$ )

- Example trace:



# Computing Fibonacci Numbers

- Fibonacci numbers are defined recursively:

$$F_0 = 0$$

$$F_1 = 1$$

$$F_i = F_{i-1} + F_{i-2} \quad \text{for } i > 1.$$

- Recursive algorithm (first attempt):

**Algorithm** BinaryFib( $k$ ):

**Input:** Nonnegative integer  $k$

**Output:** The  $k$ th Fibonacci number  $F_k$

**if**  $k = 1$  **then**

**return**  $k$

**else**

**return** BinaryFib( $k - 1$ ) + BinaryFib( $k - 2$ )

# Analysis

- Let  $n_k$  be the number of recursive calls by **BinaryFib**(k)
  - $n_0 = 1$
  - $n_1 = 1$
  - $n_2 = n_1 + n_0 + 1 = 1 + 1 + 1 = 3$
  - $n_3 = n_2 + n_1 + 1 = 3 + 1 + 1 = 5$
  - $n_4 = n_3 + n_2 + 1 = 5 + 3 + 1 = 9$
  - $n_5 = n_4 + n_3 + 1 = 9 + 5 + 1 = 15$
  - $n_6 = n_5 + n_4 + 1 = 15 + 9 + 1 = 25$
  - $n_7 = n_6 + n_5 + 1 = 25 + 15 + 1 = 41$
  - $n_8 = n_7 + n_6 + 1 = 41 + 25 + 1 = 67.$
- Note that  $n_k$  at least doubles every other time
- That is,  $n_k > 2^{k/2}$ . It is exponential!

# A Better Fibonacci Algorithm

- Use linear recursion instead

**Algorithm** **LinearFibonacci**(k):

***Input:*** A nonnegative integer k

***Output:*** Pair of Fibonacci numbers ( $F_k$ ,  $F_{k-1}$ )

**if**  $k \leq 1$  **then**

**return** (k, 0)

**else**

    (i, j) = **LinearFibonacci**(k - 1)

**return** (i + j, i)

- **LinearFibonacci** makes k-1 recursive calls



# Multiple Recursion

## □ Motivating example:

### ■ summation puzzles

♦ *pot + pan = bib*

♦ *dog + cat = pig*

♦ *boy + girl = baby*

$$321 + 375 = 696$$

$$167 + 380 = 547$$

## □ Multiple recursion:

- makes potentially many recursive calls
- not just one or two

# Algorithm for Multiple Recursion

**Algorithm** **PuzzleSolve**(S,U):

**Input:** Sequence S, and set U (universe of elements to test)

**Output:** Solution to problem encoded as a sequence

**for all** e in U **do**

Remove e from U                      {e is now being used}

Add e to the end of S

**if** U is empty **then**

**if** S solves the puzzle **then**

**return** S                      {solution found}

**else**

    S' = **PuzzleSolve**(S,U)

**if** S'  $\neq \emptyset$  **then**

**return** S'

Add e back to U                      {e is now unused}

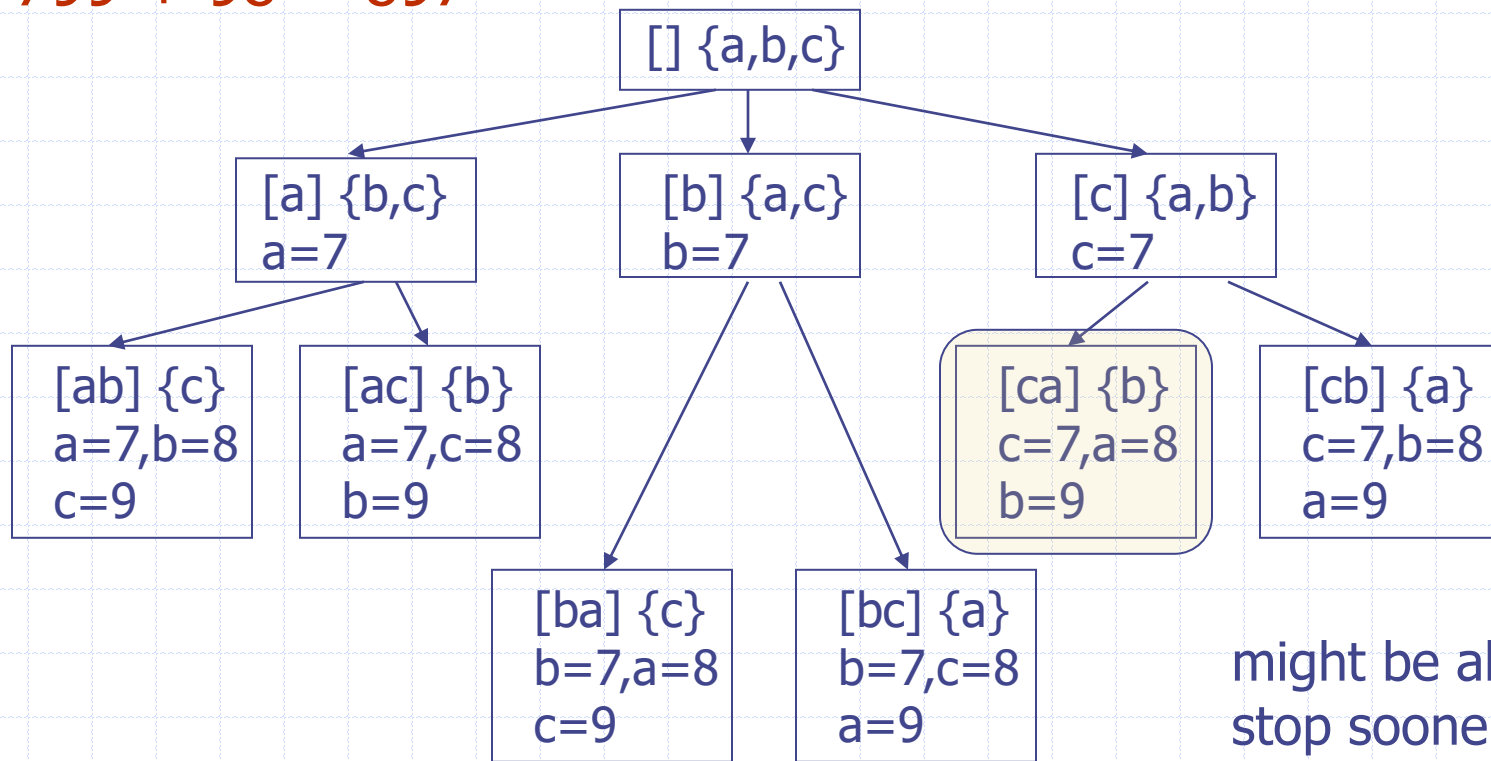
Remove e from the end of S

**return**  $\emptyset$                       {solution not found}

# Example

$$\begin{aligned}cbb + ba &= abc \\ 799 + 98 &= 897\end{aligned}$$

$a, b, c$  stand for 7, 8, 9; not necessarily in that order



might be able to  
stop sooner

# Recursion as a Black Box

- ❑ In solving a problem of some size  $n$ , it is often helpful to think of recursion as a “black box” that solves smaller instances of the problem.
- ❑ In this method, one imagines a smaller problem(s) of the same type that would help solve the problem of size  $n$ .
- ❑ By the magic of recursion, you can assume that the smaller problem(s) are solved, and use their solution.
- ❑ You **must** also verify that you can solve the problem some other way for small  $n$  (like  $n = 0$  or  $1$  or  $2$ ).

# Black Box Recursion: Insertion Sort

- ❑ Our problem is to sort a sequence of  $n$  integers.
- ❑ If we had the first  $n-1$  of them sorted, then we could simply **insert** the last one at the appropriate place in this order.
- ❑ By recursion, we can magically sort the first  $n-1$  numbers.
- ❑ We verify that we can sort a sequence of length 1 by simply leaving it alone.

```
insertionSort(A, n)
  if(n==1)
    return
  insertionSort(A, n-1)
  insert(A[n], A, n)
```

The diagram shows the recursive function `insertionSort(A, n)`. It includes a base case `if(n==1) return` and a recursive call `insertionSort(A, n-1)` followed by `insert(A[n], A, n)`. Red arrows illustrate the execution flow: one arrow points from the recursive call back to the `if(n==1)` condition, and another curved arrow points from the `insert` statement back to the `insertionSort(A, n-1)` call, representing the return path.

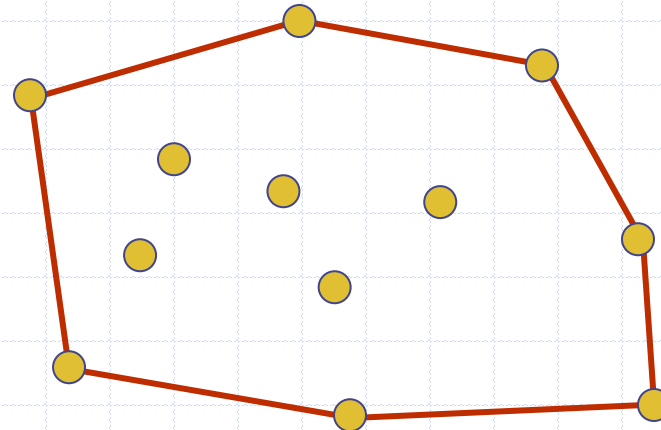
# Black Box Recursion: Merge Sort

- ❑ Our problem is to sort a sequence of  $n$  integers.
- ❑ If we **divide** the input into two subsequences, and had both of these subsequences sorted, we could simply **merge** the two subsequences.
- ❑ By recursion, we can magically sort the two subsequences.
- ❑ We can sort a sequence of length 1 by simply leaving it alone.

```
mergeSort(T)
  if(n==1)
    return T
  T1, T2 = divide(T)
  S1 = mergeSort(T1)
  S2 = mergeSort(T2)
  return merge(S1, S2)
```

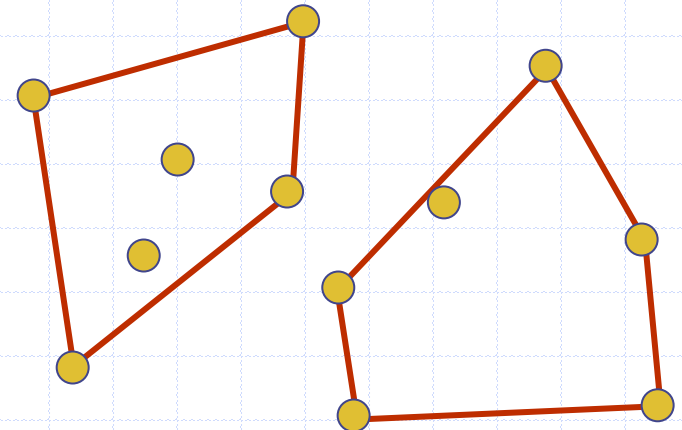
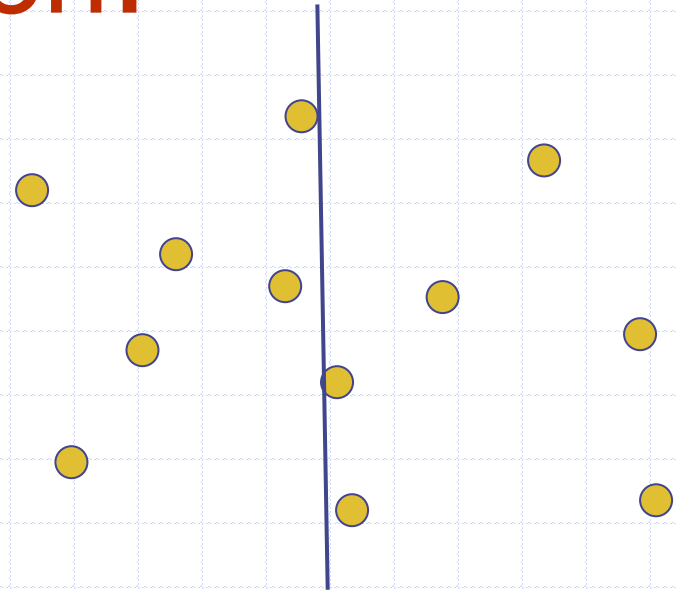
# Black Box Recursion: Convex Hulls

- Our problem is to find the **convex hull** of  $n$  points. This is the smallest convex polygon that contains the points: the “boundary” of the points. It is an ordered list of points.



# Black Box Recursion: Convex Hulls

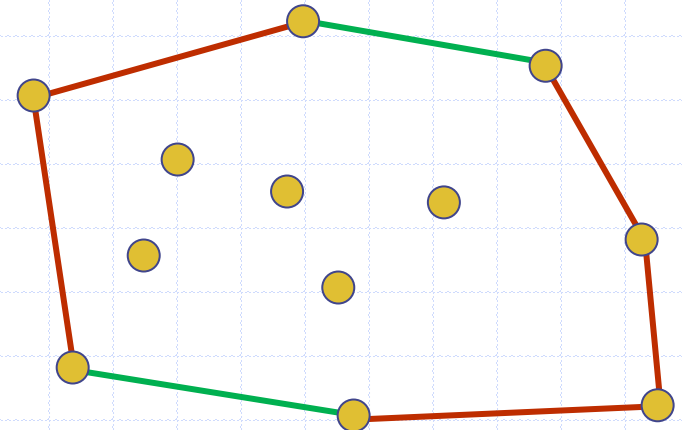
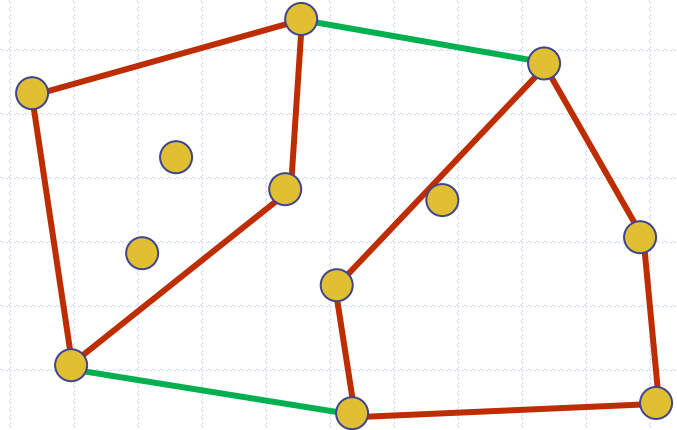
- ❑ Idea: divide the points into a left half and a right half.
- ❑ Then compute the convex hulls of both halves.





# Black Box Recursion: Convex Hulls

- ❑ Merge the two hulls by finding a top bridge edge and a bottom bridge edge.
- ❑ Then remove the parts in the middle.



# Black Box Recursion: Convex Hulls

```
convexHull(P)
  if(|P| ≤ 3)
    return ccw(P)
  P1, P2 = divide(P)
  C1 = convexHull(P1)
  C2 = convexHull(P2)
  return merge(C1, C2)
```

```
mergeSort(T)
  if(n == 1)
    return T
  T1, T2 = divide(T)
  S1 = mergeSort(T1)
  S2 = mergeSort(T2)
  return merge(S1, S2)
```

- ❑ The base case, divide, and merge steps are more complicated for convexHull, but it's the same basic recursion technique as mergeSort.
- ❑ This is a pattern called **divide-and-conquer**.