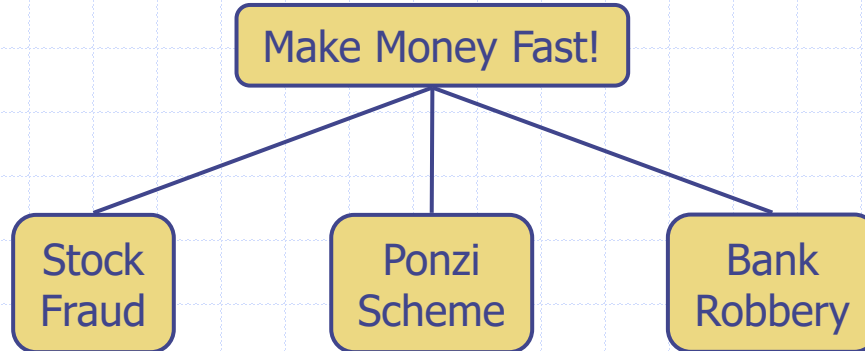


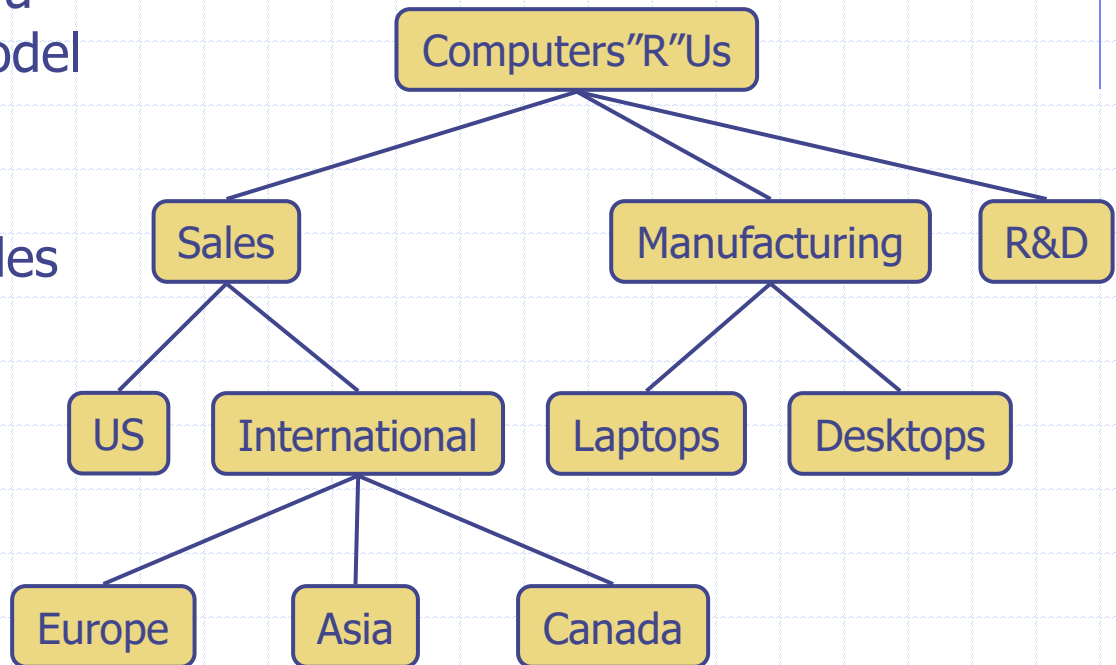
Trees

Sections 7.1-7.2



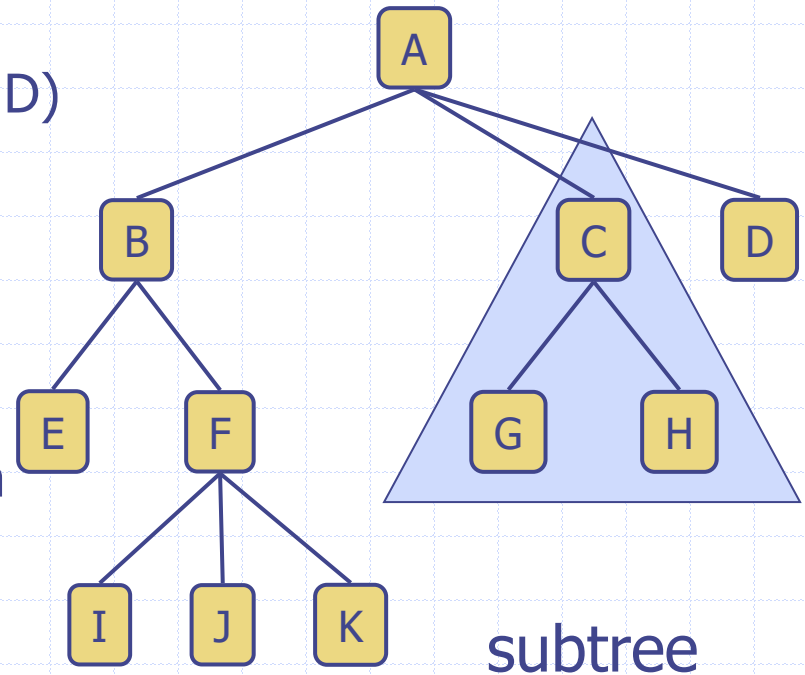
What is a Tree

- ❑ In computer science, a tree is an abstract model of a hierarchical structure
- ❑ A tree consists of nodes with a parent-child relation
- ❑ Applications:
 - Organization charts
 - File systems
 - Programming environments



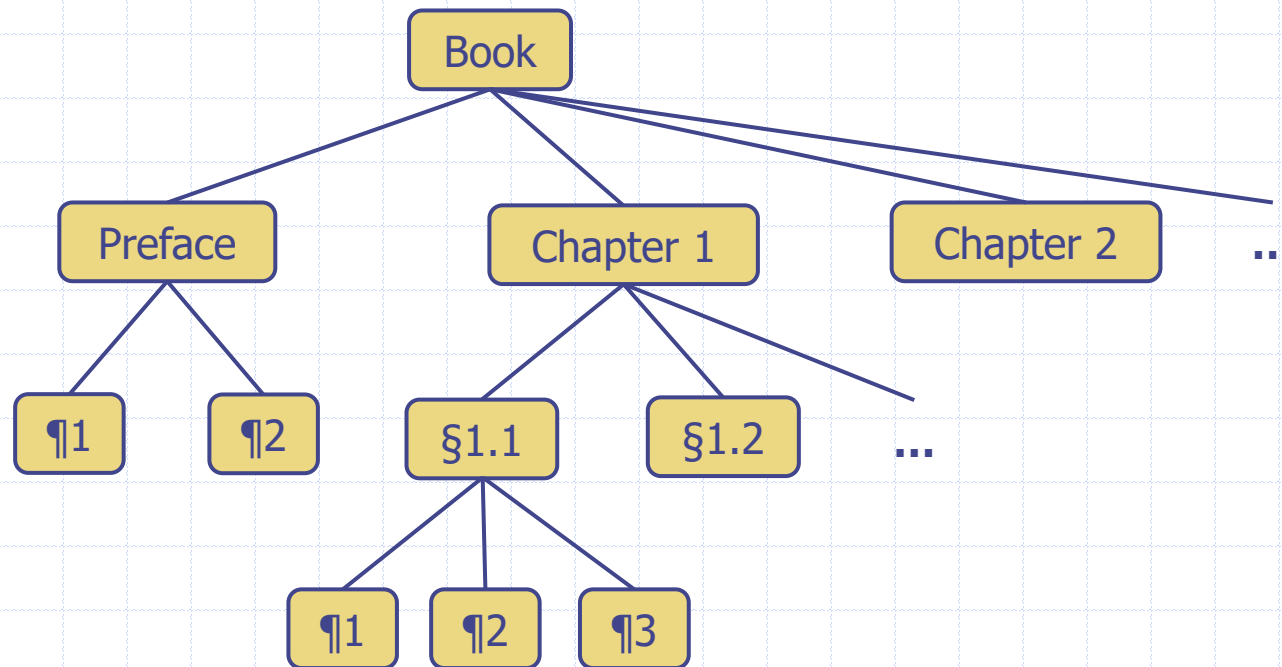
Tree Terminology

- ❑ Root: node without parent (A)
- ❑ Internal node: node with at least one child (A, B, C, F)
- ❑ External node (a.k.a. leaf): node without children (E, I, J, K, G, H, D)
- ❑ Ancestors of a node: parent, grandparent, great-grandparent, etc.
- ❑ Depth of a node: number of ancestors
- ❑ Height of a tree: maximum depth of any node (3)
- ❑ Descendant of a node: child, grandchild, great-grandchild, etc.
- ❑ Subtree: tree consisting of a node and its descendants



Ordered Trees

- ❑ An ordered tree is a rooted tree where there is a linear ordering defined for the children of each node.
- ❑ In other words, we can identify the children of a node as the first, the second, the third, etc.
- ❑ Normally the children are drawn from left (first) to right (last).



Tree ADT

- We use positions to abstract nodes
 - Generic methods:
 - integer `size()`
 - boolean `empty()`
 - Accessor methods:
 - position `root()`
 - list<position> `positions()`
 - Position-based methods:
 - position `p.parent()`
 - list<position> `p.children()`
- ◆ Query methods:
 - boolean `p.isRoot()`
 - boolean `p.isExternal()`
 - ◆ Additional update methods may be defined by data structures implementing the Tree ADT

Informal Position Interface

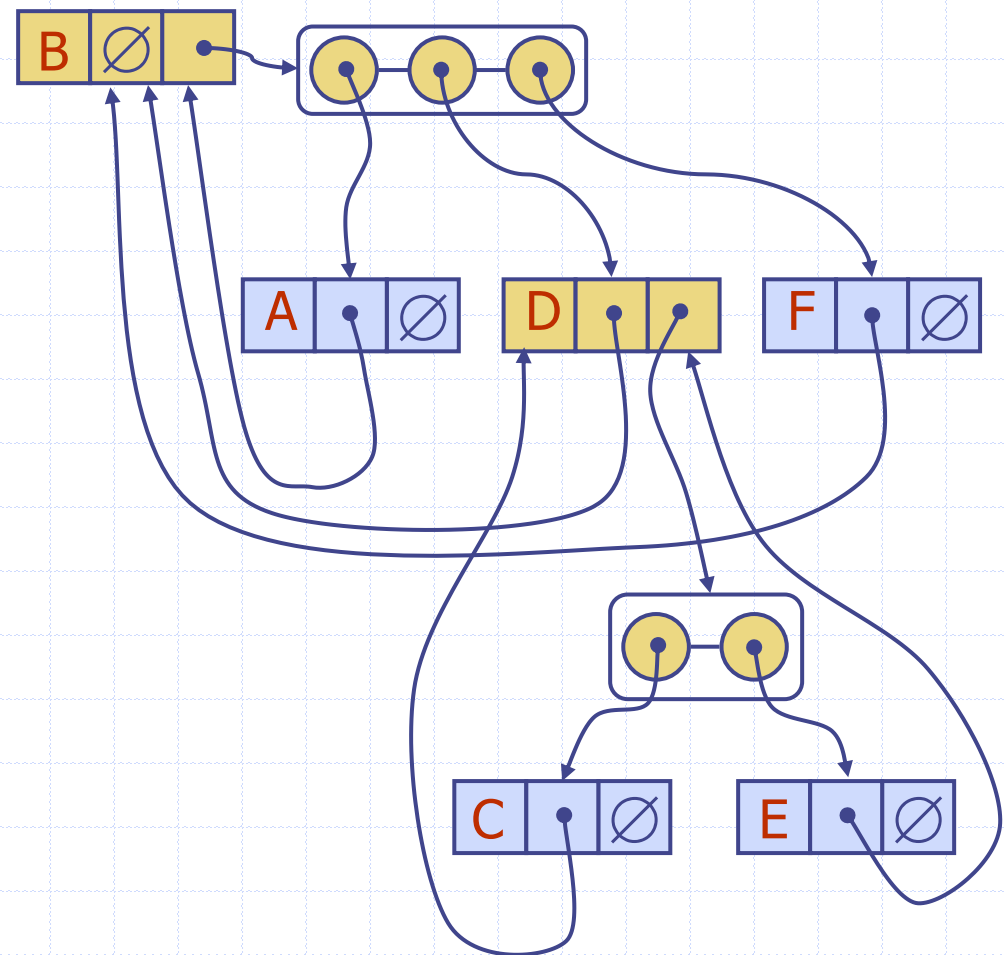
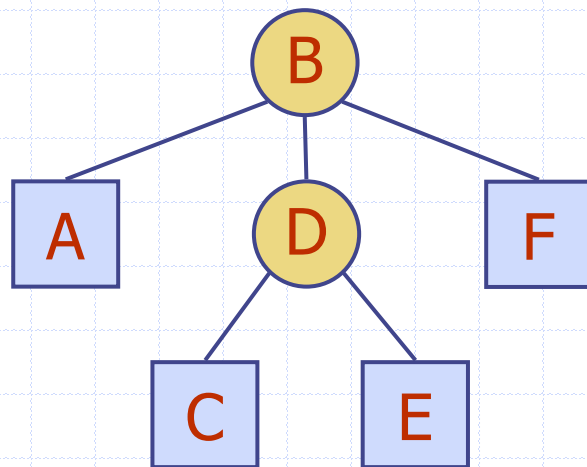
```
template <typename E>
class Position<E> {
public:
    E& operator*();
    Position parent() const;
    PositionList children() const;
    bool isRoot() const;
    bool isExternal() const;
}
```

Informal Tree Interface

```
template <typename E>
class Tree<E> {
public:
    class Position;
    class PositionList;
    int size() const;
    bool empty() const;
    Position root() const;
    PositionList positions() const;
}
```

Linked Structure for Trees

- A node is represented by an object storing
 - Element
 - Parent node
 - Sequence of children nodes
- Node objects implement the Position ADT



Analysis of Tree ADT Implementation

Using the linked structure, we can achieve the following running times for the operations of the Tree ADT:

<i>Operation</i>	<i>Time [for iterator]</i>
isRoot()	$O(1)$
isExternal()	$O(1)$
parent()	$O(1)$
children(p)	$O(c_p)$ [$O(1)$]
size()	$O(1)$
empty()	$O(1)$
root()	$O(1)$
positions()	$O(n)$ [$O(1)$]

c_p is the number of children of node p

n is the number of nodes in the tree

Depth of a Node

- Recall that the **depth** of a node is the number of ancestors it has.
- We can recursively define the depth of a node p as follows:
 - if p is the root, then its depth is 0
 - otherwise, the depth of p is 1 plus the depth of the parent of p

Algorithm *depth*(T, p)

if $p.isRoot()$

return 0

else

return $1 + depth(T, p.parent())$

Analysis of Depth()

- ❑ The algorithm *depth* is recursive.
- ❑ The base case is when p is the root of the tree.
- ❑ It makes progress towards the base case with every recursive call, since the call has a parameter of the parent of p , which is closer to the root than p .
- ❑ In the worst case, *depth* could take $O(n)$ time.
- ❑ It is more accurate to characterize the running time in terms of the output parameter rather than the input (!)
- ❑ If *depth*(p) has an output of d_p , then the running time is $O(d_p)$, since it makes 1 call for each ancestor of p , and each call takes $O(1)$ time. [Here the running time is $\sim 1 + d_p$, but the $O()$ swallows the 1.]
- ❑ The parameter d_p is often much smaller than n , so characterizing the worst-case time as $O(d_p)$ gives you more information than characterizing it as $O(n)$.

Height of a Node

- The **height** of a **node** is defined recursively as well:
 - if p is external, then its height is 0
 - otherwise, the height of p is 1 plus the maximum height of a child of p .
- The **height** of a **tree** is the height of the root of the tree.
- Or, the height of a tree is equal to the maximum depth of its external nodes.

Algorithm *height1*(T)

$h = 0$

for each p **in** $T.positions()$ **do**

if $p.isExternal()$ **then**

$h = \max(h, \text{depth}(T, p))$

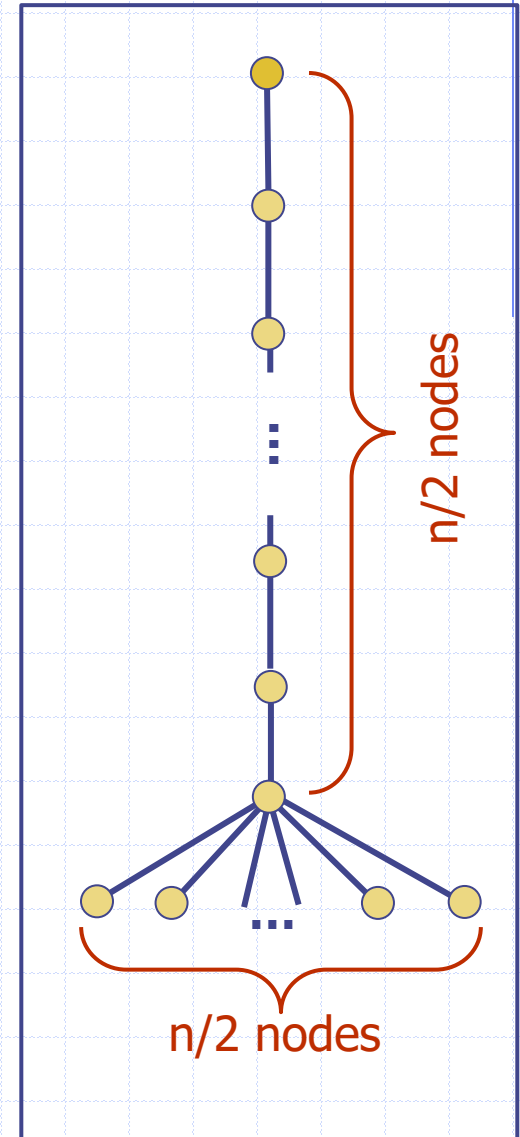
return h

Analysis of Height1()

- ❑ The algorithm *height1* is not very efficient.
- ❑ It takes $O(n)$ time simply to go through all of the positions and check if they are external.
- ❑ It takes additional time to compute the depths of all of the external nodes. Let E be the set of external nodes of our tree T , and d_p be the depth of node p in the tree. The time to compute the depths is proportional to:

$$\sum_{p \in E} (1 + d_p)$$

- ❑ In the worst case, this sum is $\Theta(n^2)$.
- ❑ Therefore, *height1* takes $O(n^2)$ time.



Height of a Node, Again

- A better algorithm uses the recursive definition of height directly.
 - if p is external, then its height is 0
 - otherwise, the height of p is 1 plus the maximum height of a child of p .

```
Algorithm height2( $T, p$ )  
    if  $p.isExternal()$  then  
        return 0  
    else  
         $h = 0$   
        for each  $q$  in  $p.children()$  do  
             $h = \max(h, height2(T, q))$   
        return  $1 + h$ 
```

Analysis of Height2()

- The algorithm *height2* is more efficient than *height1*.
- *height2* takes time $O(1 + c_p)$ time to perform the nonrecursive part: $O(1)$ time for the **if**, the **returns**, and the initial assignment to h , and $O(c_p)$ for the **for** loop. Here c_p is the number of children of node p .
- If initially called with the root of the tree T as p , it will eventually be called once for each node of the tree.
- Thus, the total time taken by *height2* is the sum of the nonrecursive time over all nodes of the tree.

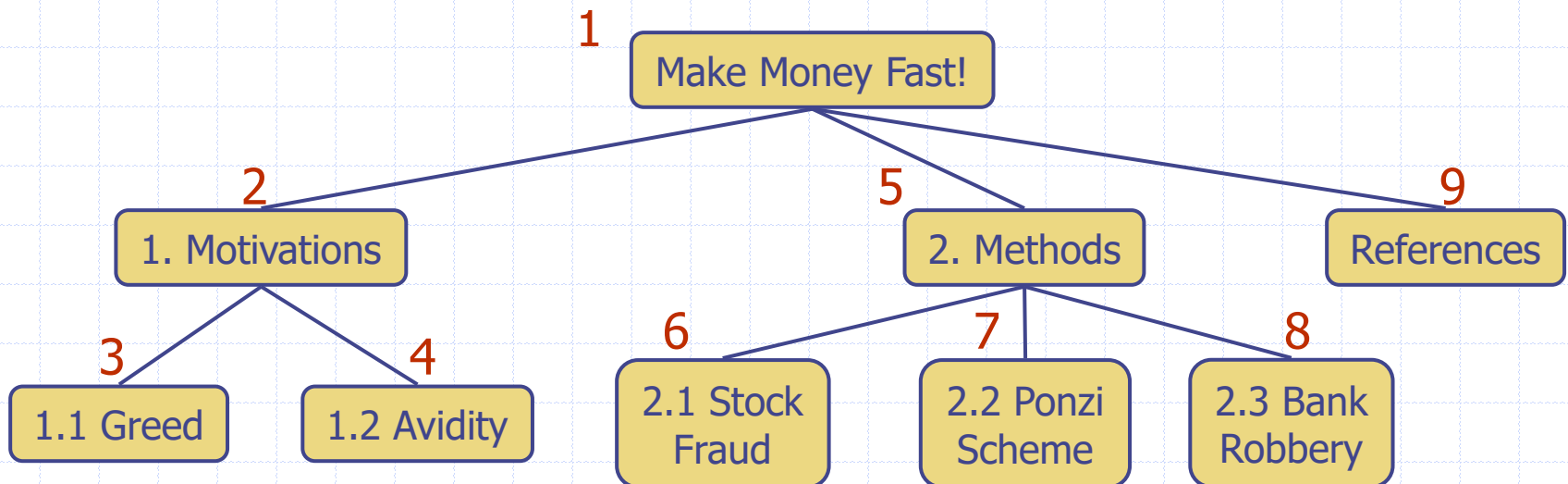
$$\sum_{p \in T} (1 + c_p)$$

- This sum is $2n - 1$.
- Therefore, *height2* takes $O(n)$ time.

Preorder Traversal

- A traversal **visits** the nodes of a tree in a systematic manner
- In a **preorder** traversal, a node is visited **before** its descendants
- Application: print a structured document

Algorithm *preorder*(v)
visit(v)
for each child w of v
preorder (w)



Preorder Print

Make Money Fast!

1. Motivations

1.1 Greed

1.2 Avidity

2. Methods

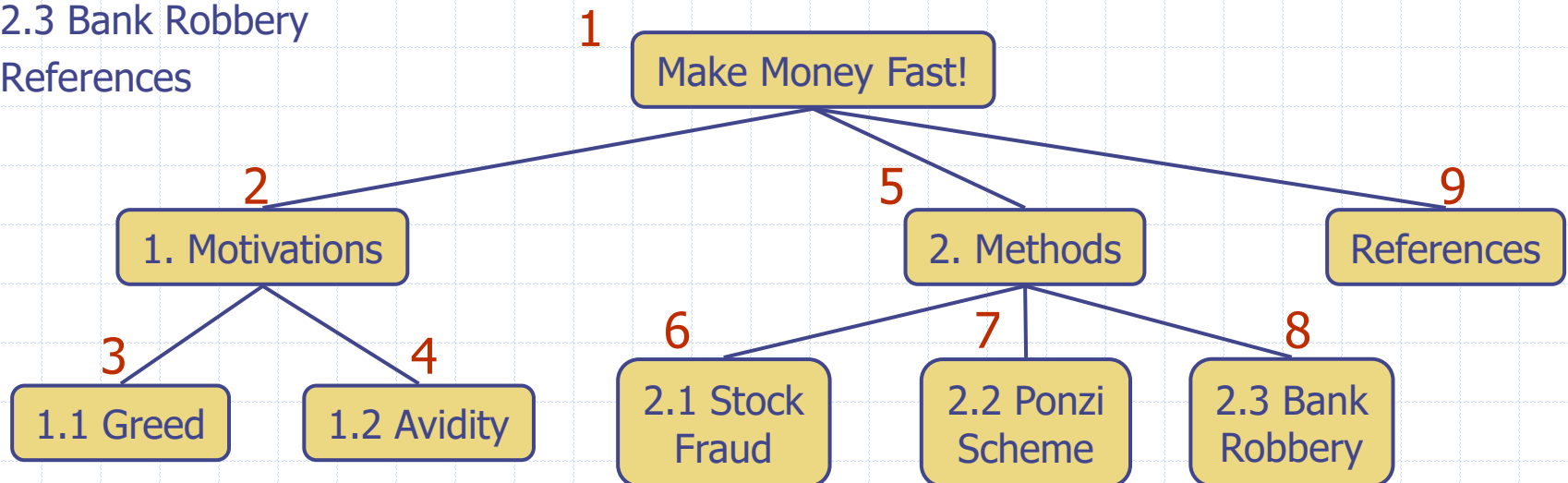
2.1 Stock Fraud

2.2 Ponzi Scheme

2.3 Bank Robbery

References

Algorithm *preorderPrint(v)*
print(v)
for each child *w* of *v*
preorderPrint(w)



Preorder Print

Make Money Fast!

1. Motivations

1.1 Greed

1.2 Avidity

2. Methods

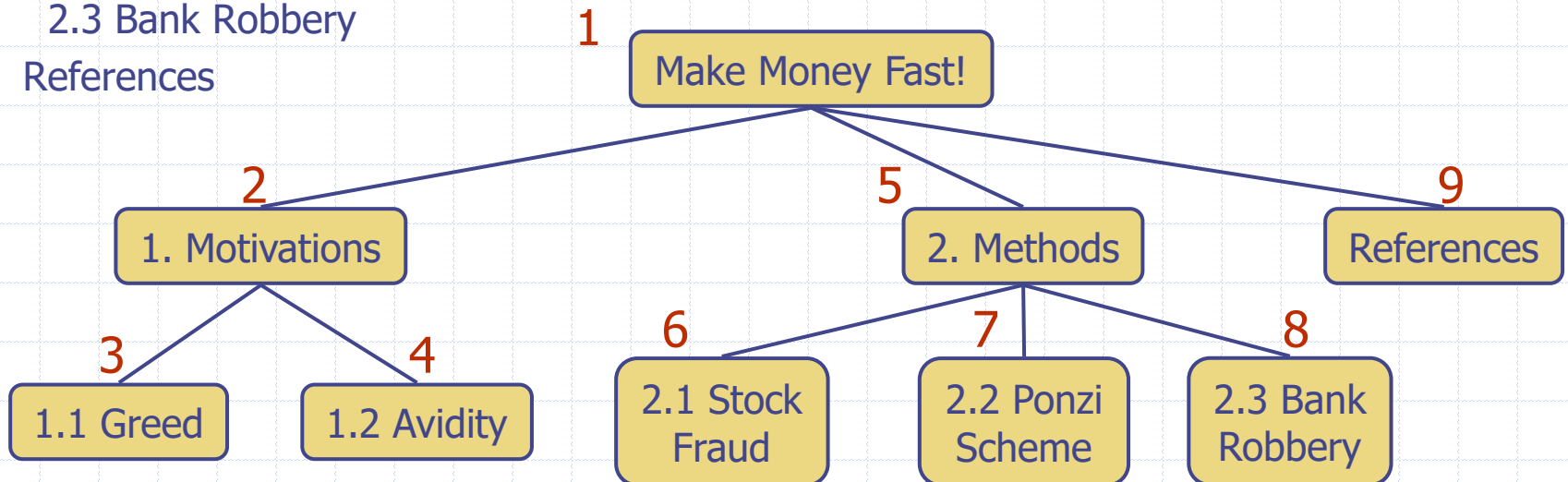
2.1 Stock Fraud

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2.3 Bank Robbery

References

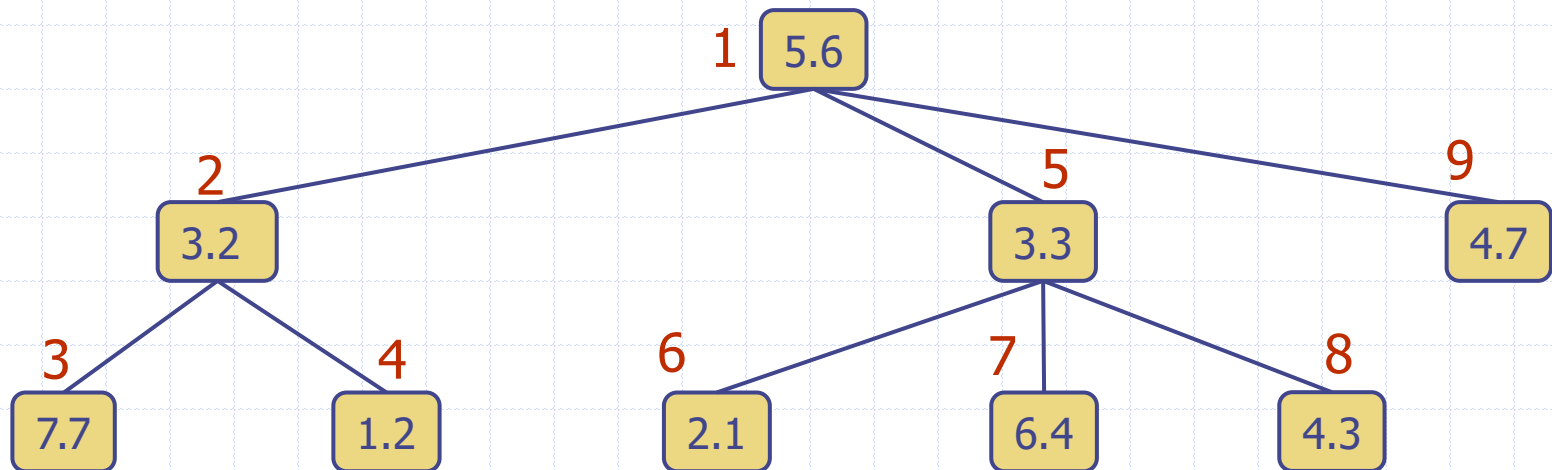
Algorithm *preorderPrint(v, indent)*
*print indent*2 spaces*
print(v)
for each child *w* of *v*
preorderPrint(w, indent + 1)



Preorder Sum

PreorderSum(v)
returns the sum of
the elements of the
subtree of v .

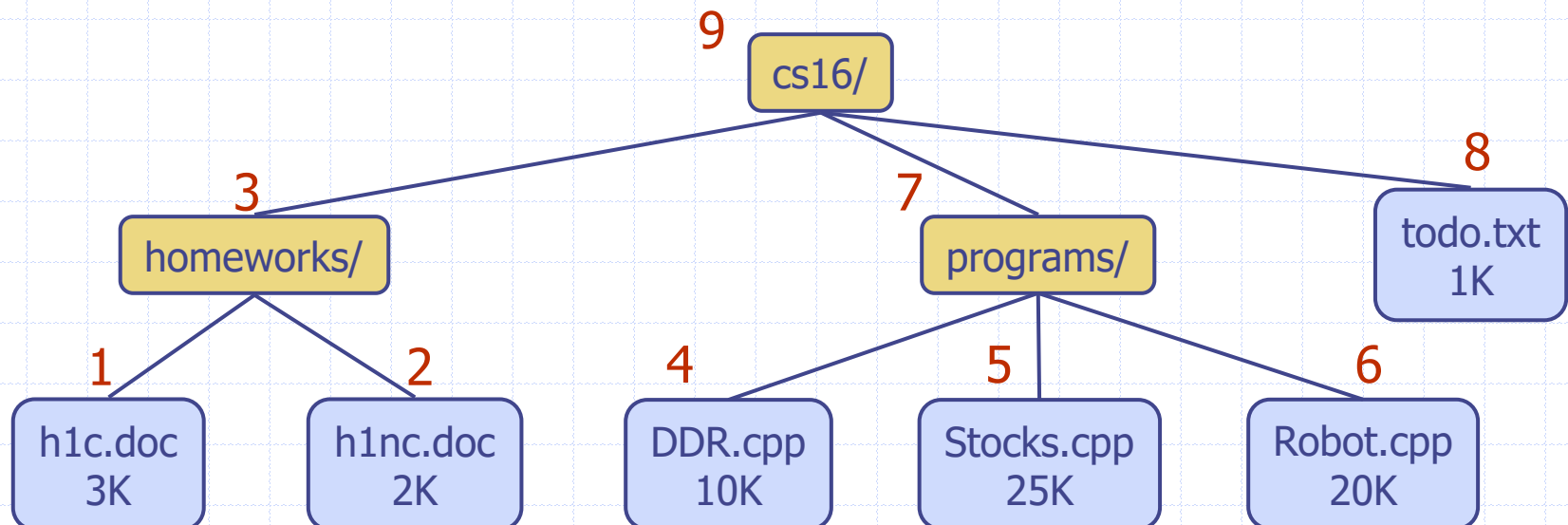
```
Algorithm preorderSum( $v$ )  
     $sum = v.element()$   
    for each child  $w$  of  $v$   
         $sum = sum + preorderSum(w)$   
    return  $sum$ 
```



Postorder Traversal

- In a **postorder** traversal, a node is visited **after** its descendants
- Application: compute space used by files in a directory and its subdirectories

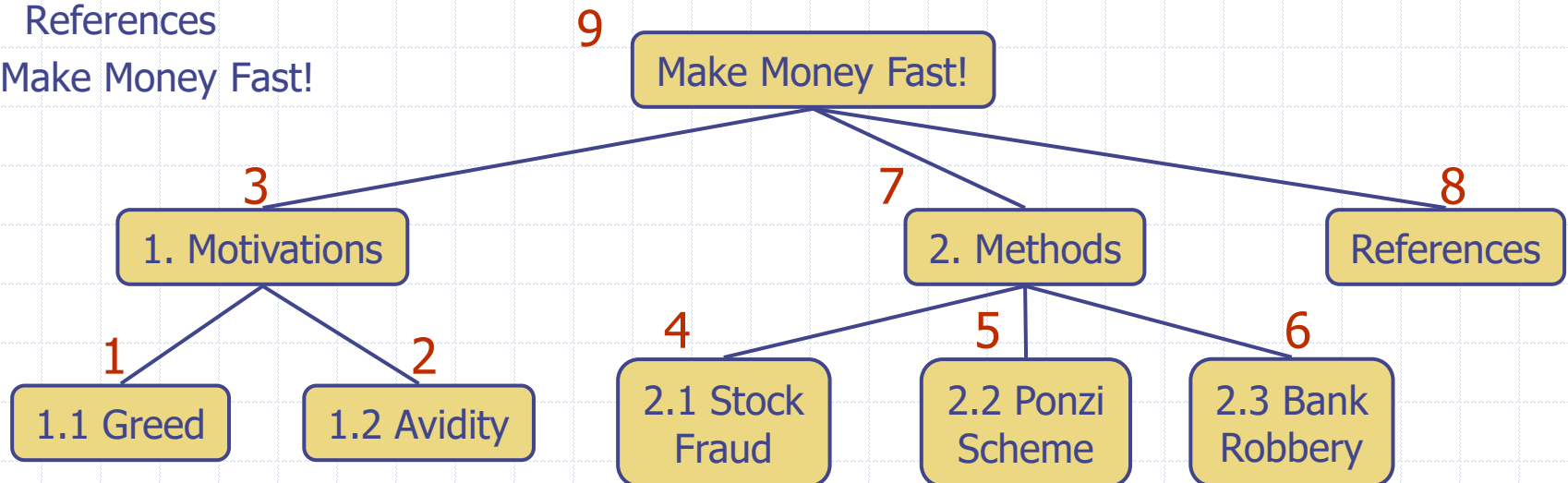
Algorithm *postorder*(v)
 for each child w of v
 postorder (w)
 visit(v)



Postorder Print

1.1 Greed
1.2 Avidity
1. Motivations
2.1 Stock Fraud
2.2 Ponzi Scheme
2.3 Bank Robbery
2. Methods
References
Make Money Fast!

Algorithm *postorderPrint(v, indent)*
for each child *w* of *v*
 postorderPrint(w, indent + 1)
*print indent*2 spaces*
print(v)



Postorder Sum

PostorderSum(v)
returns the sum of
the elements of the
subtree of v .

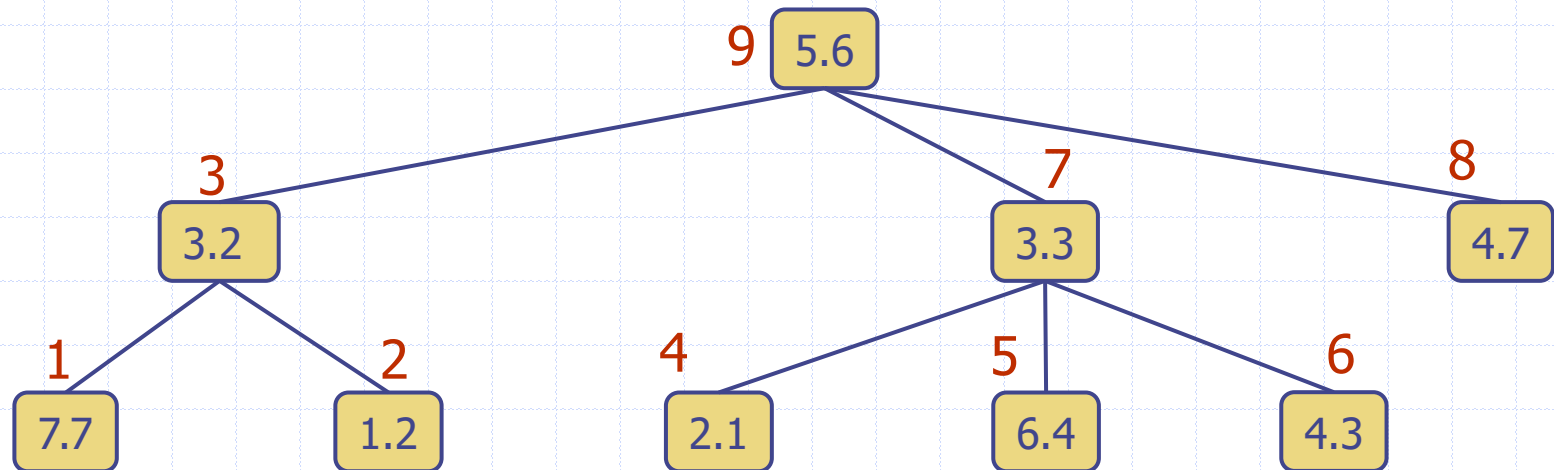
Algorithm *postorderSum*(v)

sum = 0

for each child w of v

sum = *sum* + *postorderSum*(w)

return *sum* + $v.element()$



Expression Evaluation (Postorder)

`evaluate(v)` returns the value of the expression represented by the subtree of v .

Algorithm *evaluate*(v)

if $v.isExternal()$

return $v.element()$

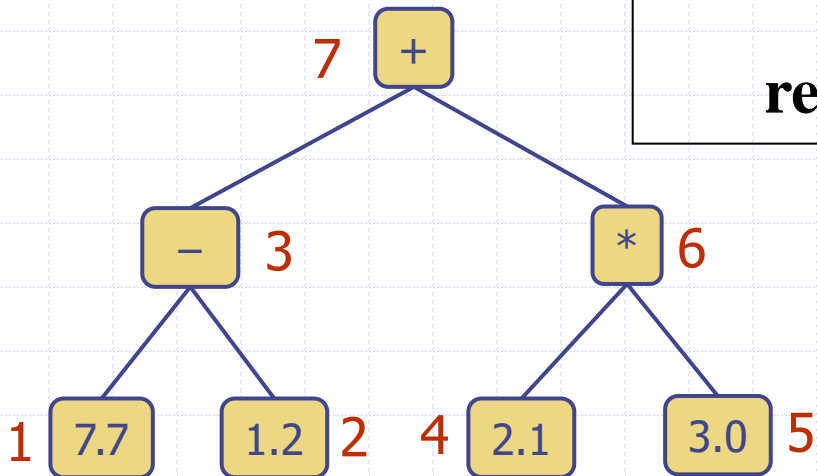
else

$arglist = \{ \}$

for each child w of v

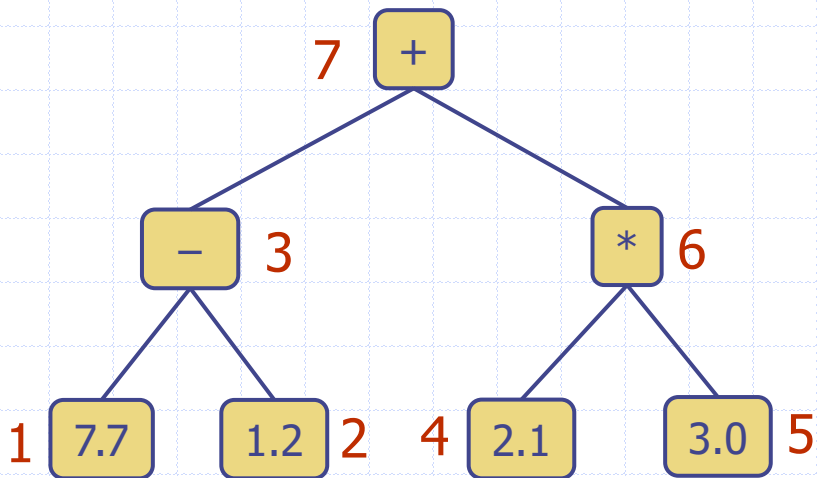
$arglist = arglist + evaluate(w)$

return $apply(v.element(), arglist)$



$(7.7 - 1.2) + (2.1 * 3.0)$

Expression Evaluation (Postorder)



n7 calls n3

n3 calls n1

n1 returns 7.7

n3 calls n2

n2 returns 1.2

n3 executes `apply(-, {7.7, 1.2})`

n3 returns 6.5

n7 calls n6

n6 calls n4

n4 returns 2.1

n6 calls n5

n5 returns 3.0

n6 executes `apply(*, {2.1, 3.0})`

n6 returns 6.3

n7 executes `apply(+, {6.5, 6.3})`

n7 returns 12.8