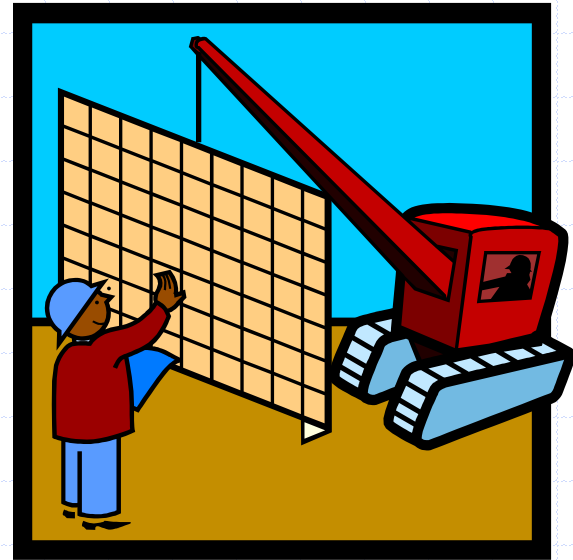


# Array Lists

Section 6.1



# Lists (Sequences)

- A **list** or **sequence** is a collection of elements stored in a linear order, so we can refer to the elements as first, second, third, etc.
- The **index** of an element in a list is the number of elements before it. The **rank** of an element is one more than its index.
- Computer languages, because it is more natural for the compiler, mostly use index rather than rank for accessing list or array elements.
- A sequence that allows access to its elements by their indices is called a **vector** or **array list**.

# The Array List ADT

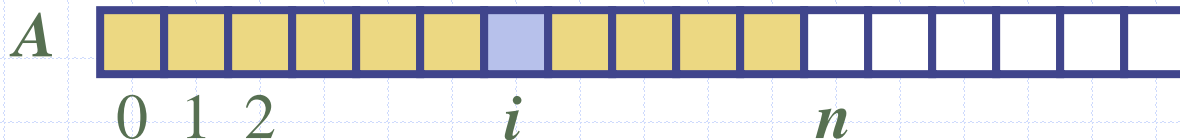
- The **Vector** or **Array List** ADT extends the notion of array by storing a sequence of objects
- An element can be accessed, inserted or removed by specifying its **index**
- An exception is thrown if an incorrect index is given (e.g., a negative index)
- Main methods:
  - **at**(integer i): returns the element at index i without removing it
  - **set**(integer i, object o): replace the element at index i with o
  - **insert**(integer i, object o): insert a new element o to have index i
  - **erase**(integer i): removes element at index i
- Additional methods:
  - **size**()
  - **empty**()

# Applications of Array Lists

- Direct applications
  - Sorted collection of objects (elementary database or dictionary)
- Indirect applications
  - Auxiliary data structure for algorithms
  - Component of other data structures

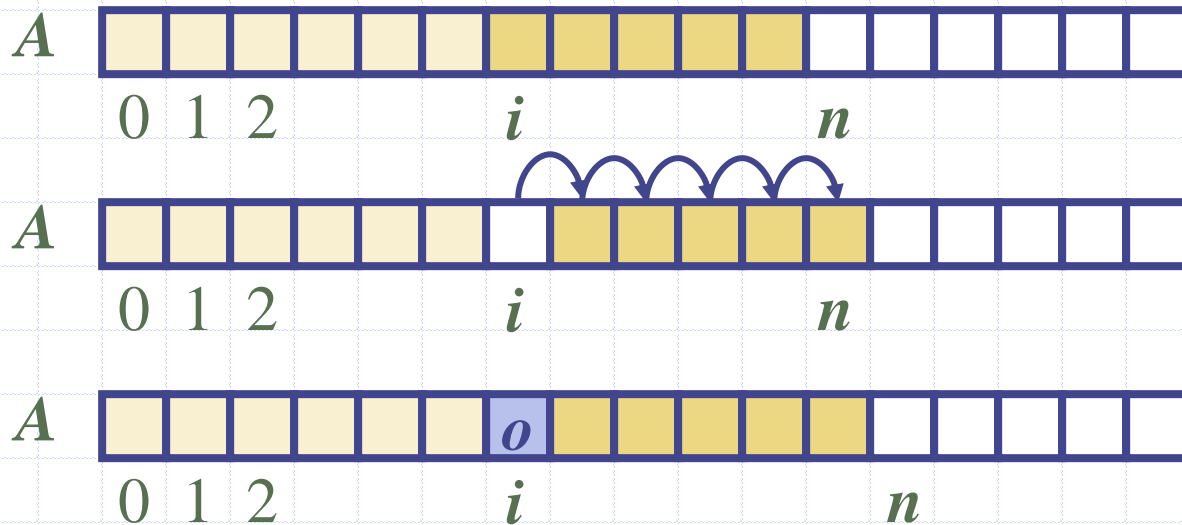
# Array-based Implementation

- Use an array  $A$  of size  $N$
- A variable  $n$  keeps track of the size of the array list (number of elements stored)
- Operation  $at(i)$  is implemented in  $O(1)$  time by returning  $A[i]$
- Operation  $set(i,o)$  is implemented in  $O(1)$  time by performing  $A[i] = o$



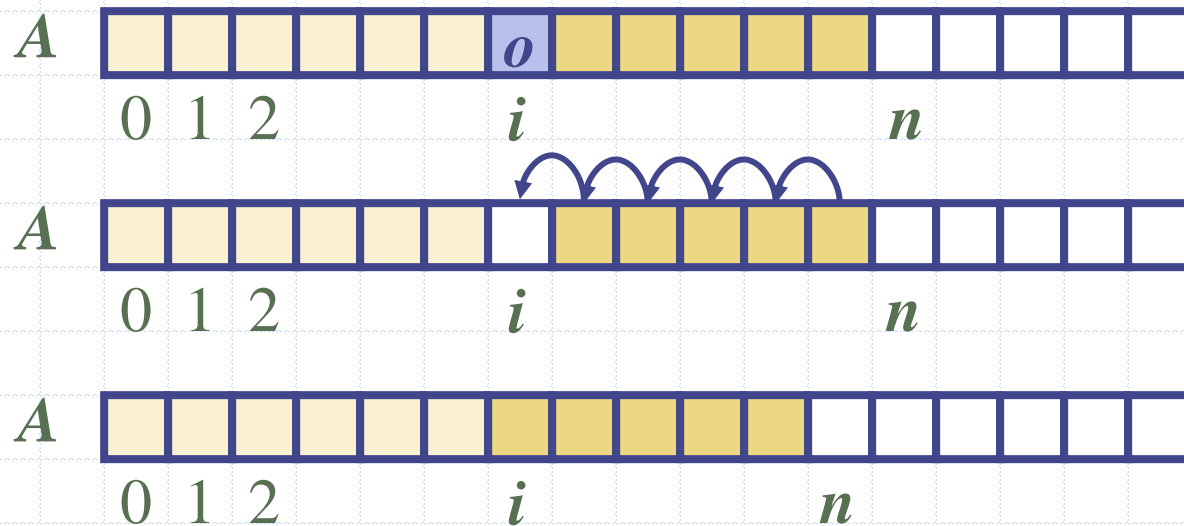
# Insertion

- In operation *insert*( $i, o$ ), we need to make room for the new element by shifting forward the  $n - i$  elements  $A[i], \dots, A[n - 1]$
- In the worst case ( $i = 0$ ), this takes  $O(n)$  time



# Element Removal

- In operation *erase*( $i$ ), we need to fill the hole left by the removed element by shifting backward the  $n - i - 1$  elements  $A[i + 1], \dots, A[n - 1]$
- In the worst case ( $i = 0$ ), this takes  $O(n)$  time



# Algorithms Insert and Erase

- $n$  is a member variable that stores the number of elements in the ArrayList.
- An implementation of *insert()* should start by throwing an exception if the array  $A$  is full. This has been considered an **implementation detail** and left out of the algorithm.

```
Algorithm insert( $i, e$ )  
  for  $j \leftarrow n - 1$  downto  $i$  do  
     $A[j+1] \leftarrow A[j]$   
   $A[i] \leftarrow e$   
   $n \leftarrow n + 1$ 
```

```
Algorithm erase( $i$ )  
  for  $j \leftarrow i+1$  to  $n - 1$  do  
     $A[j - 1] \leftarrow A[j]$   
   $n \leftarrow n - 1$ 
```



# Performance

- In the array-based implementation of an array list:
  - The space used by the data structure is  $O(1)$
  - *size*, *empty*, *at* and *set* run in  $O(1)$  time
  - *insert* and *erase* run in  $O(n)$  time in the worst case
  - constrained by initial capacity of array
- In a linked-list-based implementation of an array list:
  - The space used by the data structure is  $O(n)$
  - *size* and *empty* run in  $O(1)$  time
  - *at*, *set*, *insert*, and *erase* run in  $O(n)$  time
  - no size constraint

# Performance

- If we use the array in a circular fashion, operations *insert*(0,  $x$ ) and *erase*(0,  $x$ ) run in  $O(1)$  time.
- We'd like to have the faster *at* and *set* of the array-based implementation, without the size constraint.
- This is possible using an *extendable array*, which brings our space cost to  $O(n)$ .

# Extendable Array

- An operation that accesses an index past the size of the array is called an **overflow**.
- When we get an overflow, we replace the array with a larger one.
- How large should the new array be?
  - **Incremental strategy**: increase the size by a constant  $c$
  - **Doubling strategy**: double the size

Algorithm *handleOverflow*

$S \leftarrow$  new array of  
size ...

for  $i \leftarrow 0$  to  $n-1$  do

$S[i] \leftarrow A[i]$

$A \leftarrow S$

Algorithm *insert( $i, e$ )*

if  $n = A.length - 1$  then

*handleOverflow*( )

for  $j \leftarrow n-1$  downto  $i$  do

$A[j+1] \leftarrow A[j]$

$A[i] \leftarrow e$

$n \leftarrow n + 1$

# Comparison of the Strategies

- We **compare** the incremental strategy and the doubling strategy by analyzing the total time  $T(k)$  needed to perform a series of  $k$  **insert**( $n, e$ ) operations
- We assume that we start with an empty array of size 1
- The **amortized time** of an insert operation is the average time taken by an insert over the series of operations, i.e.,  $T(k)/k$

# Incremental Strategy Analysis

- We replace the array  $m = k/c$  times
- The total time  $T(k)$  of a series of  $k$  insert operations is proportional to

$$k + c + 2c + 3c + 4c + \dots + mc =$$

$$k + c(1 + 2 + 3 + \dots + m) =$$

$$k + cm(m + 1)/2$$

- Since  $c$  is a constant,  $T(k)$  is  $O(k + m^2)$ , i.e.,  $O(k^2)$
- The amortized time of an insert operation is  $O(k)$

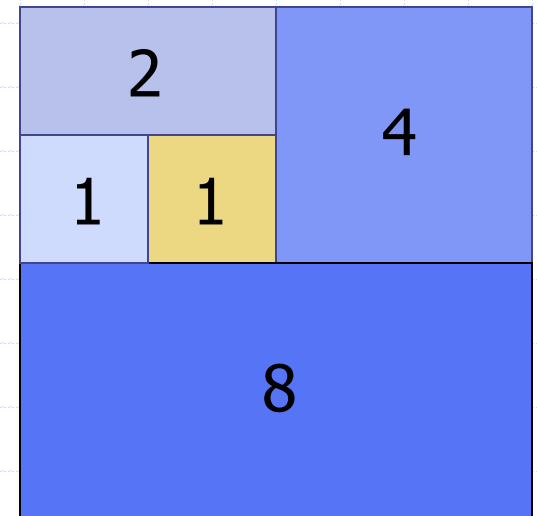
# Doubling Strategy Analysis

- We replace the array  $m = \log_2 k$  times
- The total time  $T(k)$  of a series of  $k$  insert operations is proportional to

$$k + 1 + 2 + 4 + 8 + \dots + 2^m =$$
$$k + 2^{m+1} - 1 =$$
$$3k - 1$$

- $T(k)$  is  $O(k)$
- The amortized time of an insert operation is  $O(1)$

geometric series



# Implementing Vector with an Extendable Array

```
typedef int Elem;
class ArrayVector {
public:
    ArrayVector();
    int size() const;
    bool empty() const;
    Elem& operator[ ](int i);
    Elem& at(int i)
        throw(IndexOutOfBounds);
    void erase(int i);
    void insert(int I, const Elem& e);
    void reserve(int N);
    // ...
```

```
private:
    int capacity;
    int n;
    Elem* A;
}

ArrayVector::ArrayVector()
    : capacity(0), n(0), A(NULL) { }

int ArrayVector::size() const
    { return n; }

bool ArrayVector::empty() const
    { return size() == 0; }
```

# Implementing Vector with an Extendable Array

```
Elem& ArrayVector::operator[](int i)
{ return A[i]; }
```

```
Elem& ArrayVector::at(int i)
throw(IndexOutOfBounds) {
if (i < 0 || i >= n)
    throw IndexOutOfBounds("...");
return A[i];
}
```

```
void ArrayVector::erase(int i) {
for (int j=i+1; j<n; j++)
    A[j-1] = A[j];
n--;
}
```

```
void ArrayVector::reserve(int N) {
if(capacity >= N) return;
Elem* B = new Elem[N];
for (int j=0; j<n; j++) {
    B[j] = A[j];
}
if (A != NULL)
    delete [] A
A = B;
capacity = N;
}
```



# Implementing Vector with an Extendable Array

```
void ArrayVector::insert(int i, const Elem& e) {  
    if (n >= capacity)  
        reserve(max(1, 2 * capacity));  
    for (int j = n-1; j >= i; j--) {  
        A[j+1] = A[j];  
    }  
    A[i] = e;  
    n++;  
}
```

# STL vectors

- ❑ STL has a class **vector**.
- ❑ STL vectors are a type of **container**, which is a data structure that is used to hold a collection of objects.
- ❑ STL vectors provide all of the operations ArrayVector provided, along with **push\_back(e)** and **pop\_back()**.
- ❑ STL vectors also provide many other auxiliary functions.
- ❑ When destroyed, an STL vector will destroy all objects it contains.