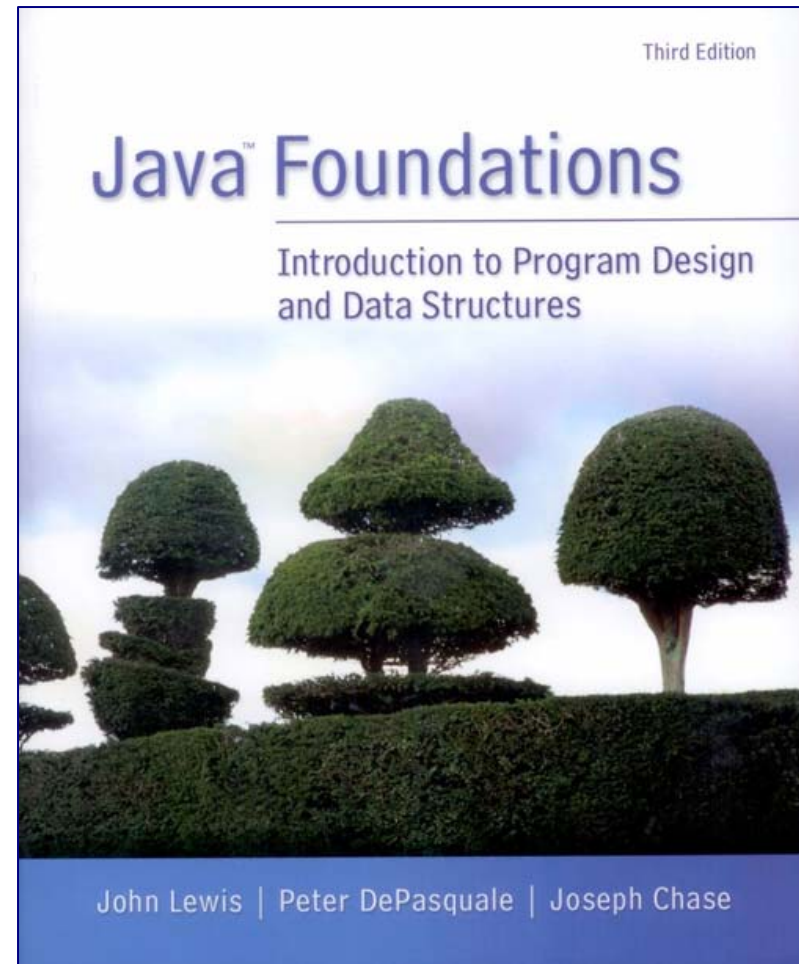
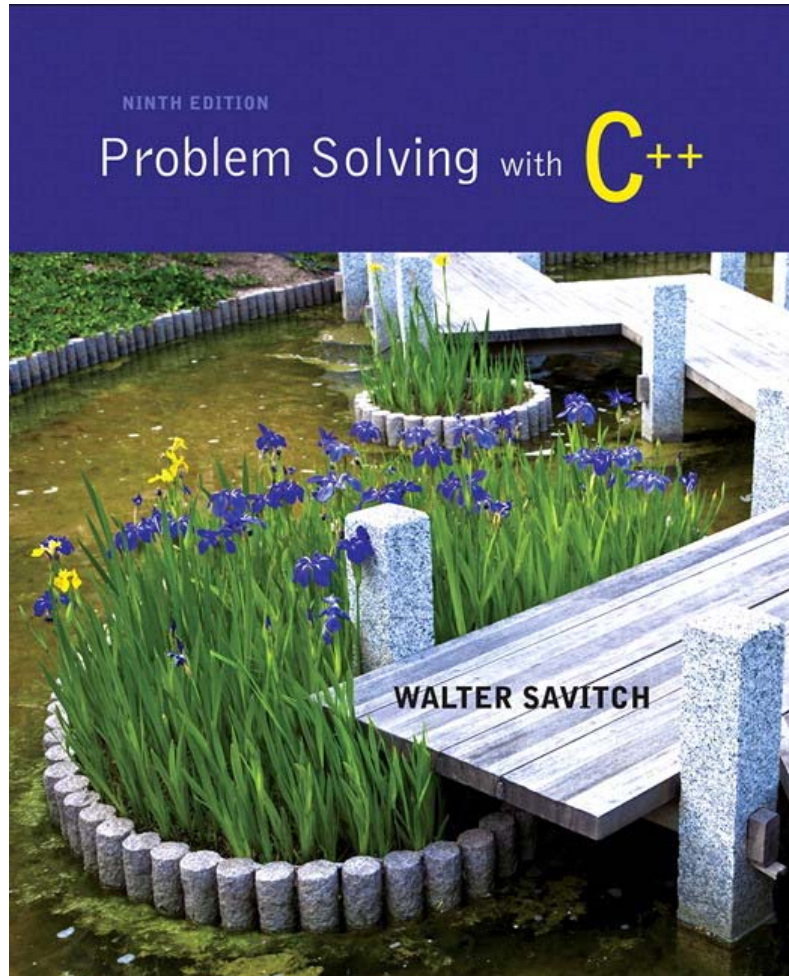


Java Foundations Chapter 11 - Analysis of Algorithms



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Slides based on Java Foundations, 3rd Edition, Ch 11 by Lewis, DePasquale, Chase

Wk13.5 Slide 1



Scope

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Analysis of Algorithms:

- Efficiency goals
- The concept of algorithm analysis
- Big-Oh notation
- The concept of asymptotic complexity
- Comparing various growth functions



Algorithm Efficiency

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The efficiency of an algorithm is usually expressed in terms of its use of CPU time

The analysis of algorithms involves categorizing an algorithm in terms of efficiency

An everyday example: washing dishes

- Suppose washing a dish takes 30 seconds and drying a dish takes an additional 30 seconds
- Therefore, N dishes require N minutes to wash and dry



Algorithm Efficiency

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Now consider a less efficient approach that requires us to dry all previously washed dishes each time we wash another one

Each dish takes 30 seconds to wash

But because we get the dishes wet while washing,

- must dry the last dish once, the second last twice, etc.
- Dry time = $30 + 2*30 + 3*30 + \dots + (n-1)*30 + n*30$
- $= 30 * (1 + 2 + 3 + \dots + (n-1) + n)$

$$= n * (30 \text{ seconds wash time}) + \sum_{i=1}^n (i * 30)$$

$$\begin{aligned} \text{time } (n \text{ dishes}) &= 30n + \frac{30n(n+1)}{2} \\ &= 15n^2 + 45n \text{ seconds} \end{aligned}$$



Problem Size

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For every algorithm we want to analyze, we need to define the size of the problem

The dishwashing problem has a size n

n = number of dishes to be washed/dried

For a search algorithm, the size of the problem is the size of the search pool

For a sorting algorithm, the size of the program is the number of elements to be sorted



Growth Functions

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We must also decide what we are trying to efficiently optimize

- *time complexity* – CPU time
- *space complexity* – memory space

CPU time is generally the focus

A growth function shows the relationship between the size of the problem (n) and the value optimized (time)



Asymptotic Complexity

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The growth function of the second dishwashing algorithm is

$$t(n) = 15n^2 + 45n$$

It is not typically necessary to know the exact growth function for an algorithm

We are mainly interested in the ***asymptotic complexity*** of an algorithm – the general nature of the algorithm as n increases



Asymptotic Complexity

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Asymptotic complexity is based on the ***dominant term*** of the growth function – the term that increases most quickly as n increases

The dominant term for the second dishwashing algorithm is n^2 :

Number of dishes (n)	$15n^2$	$45n$	$15n^2 + 45n$
1	15	45	60
2	60	90	150
5	375	225	600
10	1,500	450	1,950
100	150,000	4,500	154,500
1,000	15,000,000	45,000	15,045,000
10,000	1,500,000,000	450,000	1,500,450,000
100,000	150,000,000,000	4,500,000	150,004,500,000
1,000,000	15,000,000,000,000	45,000,000	15,000,045,000,000
10,000,000	1,500,000,000,000,000	450,000,000	1,500,000,450,000,000



Big-Oh Notation

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The coefficients and the lower order terms become increasingly less relevant as n increases

So we say that the algorithm is *order* n^2 , which is written $O(n^2)$

This is called *Big-Oh notation*

There are various Big-Oh categories

Two algorithms in the same category are generally considered to have the same efficiency, but that doesn't mean they have equal growth functions or behave exactly the same for all values of n



Big-Oh Categories

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Some sample growth functions and their Big-Oh categories:

Growth Function	Order	Label
$t(n) = 17$	$O(1)$	constant
$t(n) = 3 \log n$	$O(\log n)$	logarithmic
$t(n) = 20n - 4$	$O(n)$	linear
$t(n) = 12n \log n + 100n$	$O(n \log n)$	$n \log n$
$t(n) = 3n^2 + 5n - 2$	$O(n^2)$	quadratic
$t(n) = 8n^3 + 3n^2$	$O(n^3)$	cubic
$t(n) = 2^n + 18n^2 + 3n$	$O(2^n)$	exponential



Comparing Growth Functions

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You might think that faster processors would make efficient algorithms less important

A faster CPU helps, but not relative to the dominant term.
What happens if we increase our CPU speed by 10 times?

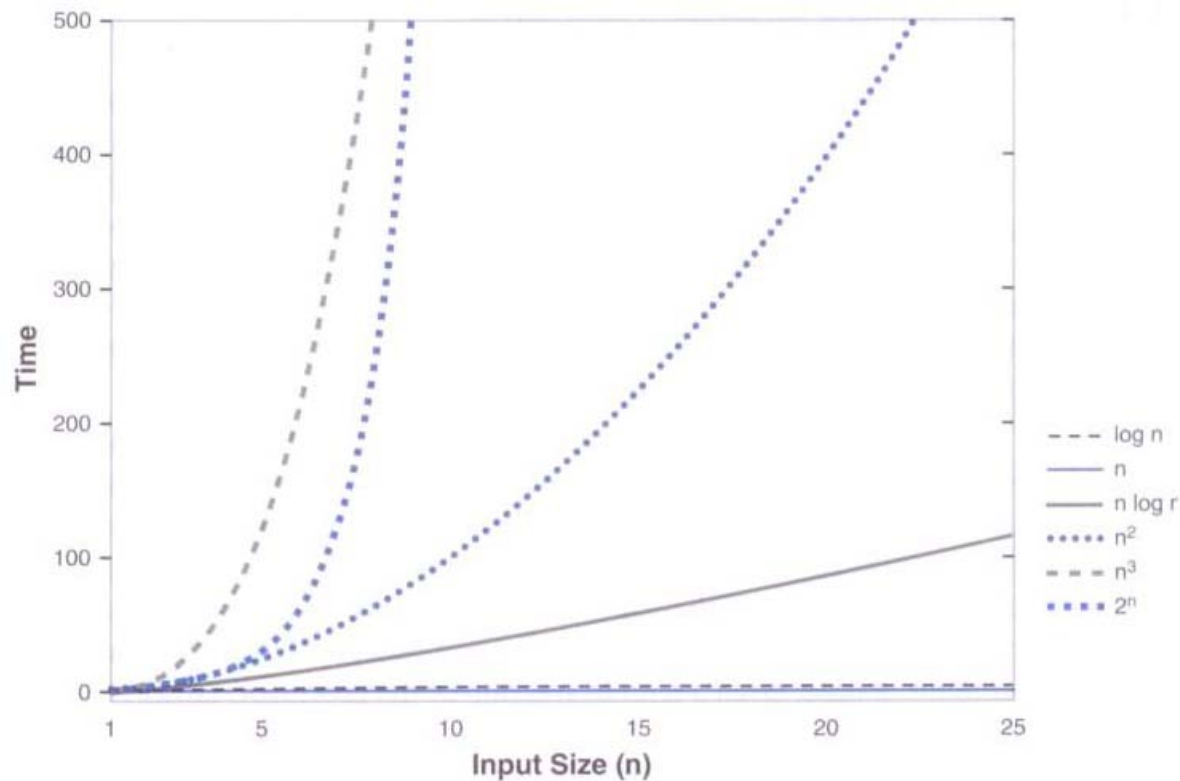
Algorithm	Time Complexity	Max Problem Size Before Speedup	Max Problem Size After Speedup
A	n	s_1	$10s_1$
B	n^2	s_2	$3.16s_2$
C	n^3	s_3	$2.15s_3$
D	2^n	s_4	$s_4 + 3.3$



Comparing Growth Functions

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As n increases, the various growth functions diverge dramatically:



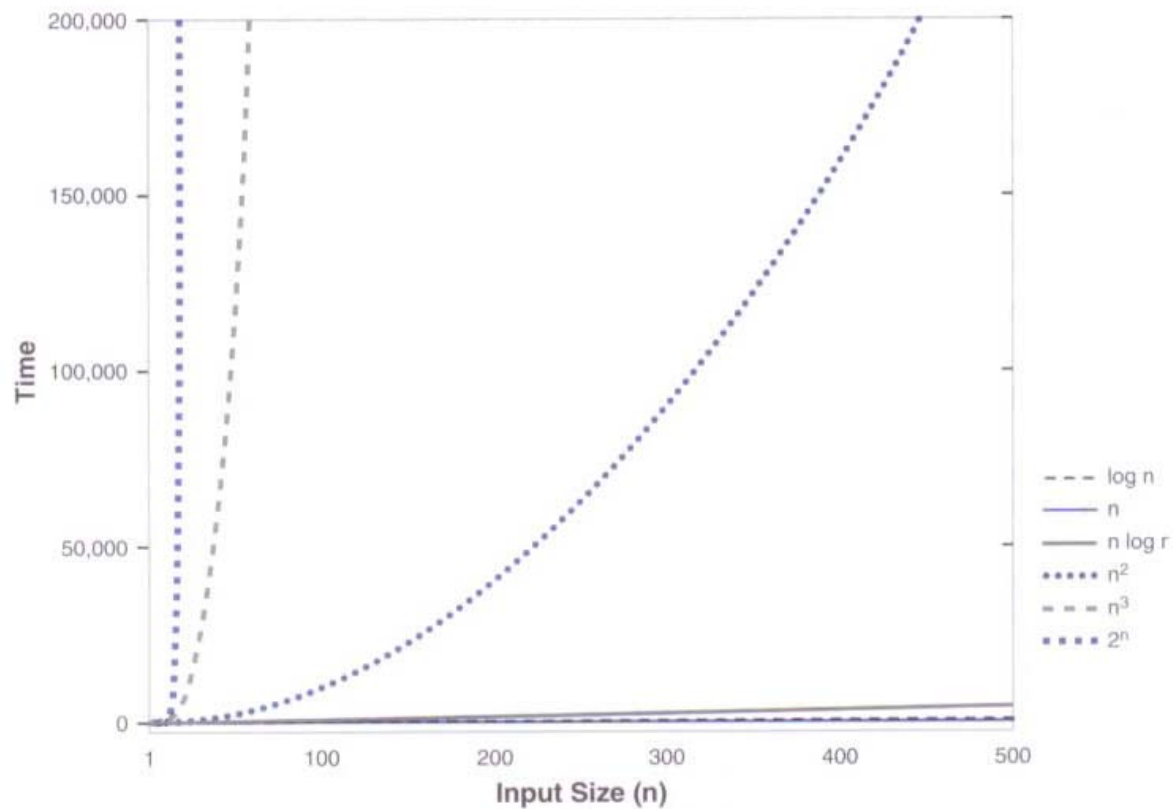
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Comparing Growth Functions

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For large values of n , the difference is even more pronounced:



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Analyzing Loop Execution

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First determine the order of the body of the loop, then multiply that by the number of times the loop will execute

```
for (int count = 0; count < n; count++)  
    { /* some sequence of O(1) steps */ }
```

N loop executions times $O(1)$ operations results in a $O(n)$ efficiency

Can write:

- CPU-time Complexity = $n * O(1)$
- = $O(n * 1)$
- = $O(n)$



Analyzing Loop Execution

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Consider the following loop:

```
count = 1;
while (count < n)
{
    count *= 2;
    // some sequence of O(1) steps
}
```

The cost of the loop body is constant: it is $O(1)$

How often is the loop executed given the value of n ?

The loop is executed $\log_2 n$ times, so the loop is $O(\log n)$

CPU-Time Efficiency = $\log n * O(1) = O(\log n)$



Analyzing Nested Loops

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When loops are nested, we multiply the complexity of the outer loop by the complexity of the inner loop

```
for (int count = 0; count < n; count++)  
    for (int count2 = 0; count2 < n; count2++)  
    {  
        // some sequence of O(1) steps  
    }
```

Both the inner and outer loops have complexity of $O(n)$
The loop Body has complexity of $O(1)$

$$\begin{aligned}\text{CPU-Time Complexity} &= O(n) * (O(n) * O(1)) \\ &= O(n) * (O(n * 1)) \\ &= O(n) * O(n) \\ &= O(n * n) = O(n^2)\end{aligned}$$

The overall efficiency is $O(n^2)$



Analyzing Method Calls

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The body of a loop may contain a call to a method
To determine the order of the loop body, the order of the method must be taken into account
The overhead of the method call itself is generally ignored



Analyzing Recursive Algorithms

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To analyze the time complexity of a loop:

- determine the time complexity of the body of the loop, multiplied by the number of loop executions

To determine the time complexity of a recursive method,

- determine the complexity of the method body, multiplied by the number of times the recursive method is called

In the recursive solution to compute the sum of ints from 1 to N, the method is invoked N times and the method itself is $O(1)$

So the order of the overall solution is $N * O(1) = O(N)$

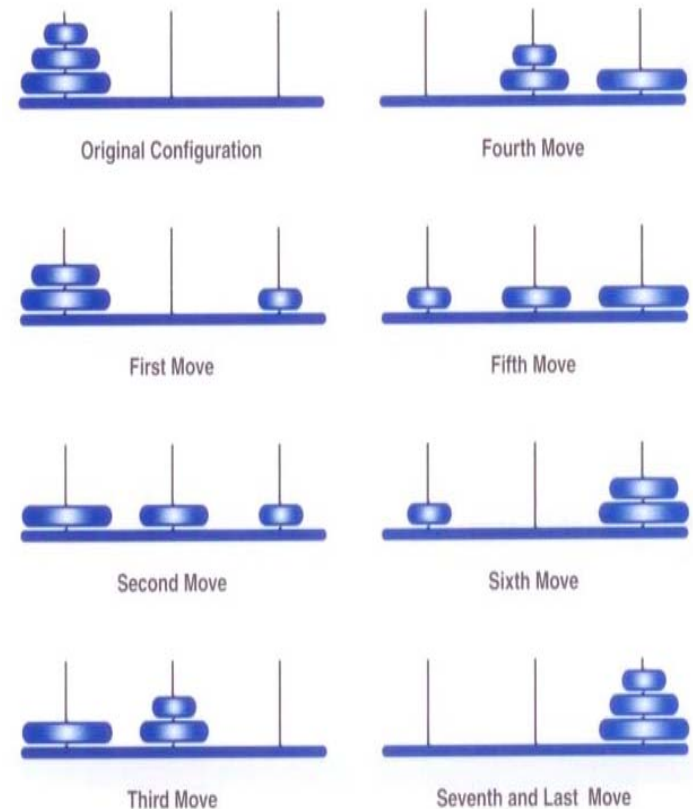
Complexity of Solution to the Towers of Hanoi



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For the Towers of Hanoi puzzle, moving one disk is $O(1)$
But each call to `moveTower` results in calling itself twice

```
moveTower(int numDisks, int start, int end, int temp)
{
    /* Recursion Base Case */
    if (numDisks == 1)
        moveOneDisk(start, end);
    else
    {
        /* Recursive Steps */
        moveTower(numDisks-1, start, temp, end);
        moveOneDisk(start, end);
        moveTower(numDisks-1, temp, end, start);
    }
}
```





Analyzing Recursive Algorithms

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For the Towers of Hanoi puzzle, moving one disk is $O(1)$
But each call to `moveTower` results in calling itself twice,
so to compute cost for $N > 1$:

$$N = 1 : f(1) = 1 = 1 = 2^1 - 1$$

$$N = 2 : f(2) = 2 * f(1) + f(1) = 3 = 2^2 - 1$$

$$N = 3 : f(3) = 2 * f(2) + f(1) = 7 = 2^3 - 1$$

. . .

$$N = n : f(n) = 2 * f(n-1) + f(1) = 2^n - 1$$

`moveTower` has *exponential cost*! $f(n) = 2^n - 1 \in O(2^n)$

As the number of disks increases, the number of required moves increases exponentially

Recursion can be elegant, but it can quickly become expensive

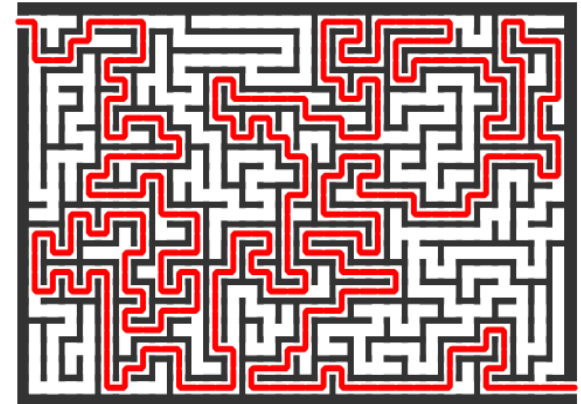


Analyzing Maze Traversal Using Recursion

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```
// Attempts to recursively traverse the maze.
bool traverse(int row, int column)
{ bool done = false;
  if (maze.validPosition(row, column))
  { maze.triedPosition(row, column); // mark this cell as tried
    if (row == maze.getRows()-1 && column == maze.getColumns()-1)
      done = true; // the maze is solved
    else
    {
      done = traverse(row+1, column); // down
      if (!done)
        done = traverse(row, column+1); // right
      if (!done)
        done = traverse(row-1, column); // up
      if (!done)
        done = traverse(row, column-1); // left
    }
    if (done) // this location is part of the final path
      maze.markPath(row, column);
  }
  return done;
}
```

Ensures we only try
each square once!



Since can only check each square once,
Cost of Recursive Maze Traversal is $O(\text{row} \times \text{column})$
 $O(\text{row} \times \text{column})$ is optimal! You cannot do better!
Lesson: Don't be afraid of Recursion's cost! Analyze it!



Interesting Problem from Microbiology

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Predicting RNA Secondary Structure

- using Minimum Free Energy (MFE) Models

Problem Statement:

Given:

- an ordered sequence of RNA bases $S = (s_1, s_2, \dots, s_n)$
- where s_i is over the alphabet $\{A, C, G, U\}$
- and s_1 denotes the first base on the 5' end, s_2 the second, etc.,

Using Watson-Crick pairings: A-U, C-G, and wobble pair G-U

Find Secondary Structure R such that:

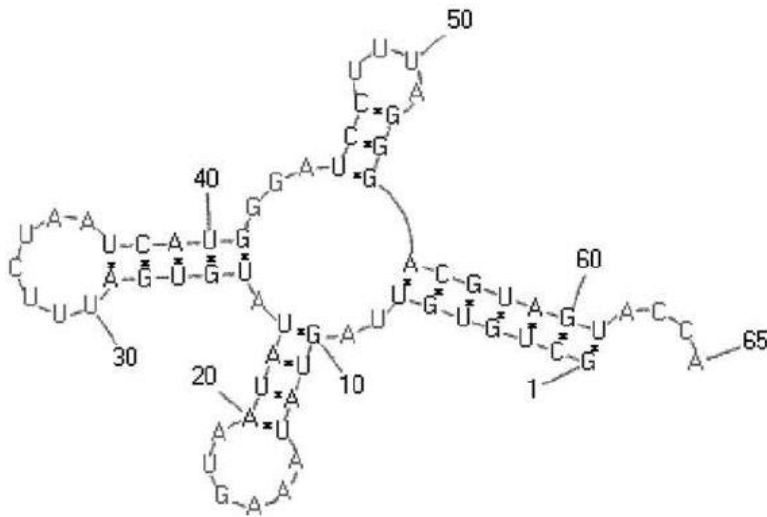
- R described by the set of pairs i, j with $1 \leq i < j \leq n$
- The pair i, j denotes that the base indexed i is paired with base indexed j
- For all indexes from 1 to n , no index occurs in more than one pair
- Structure R has minimum free energy (MFE) for all such structures
- MFE estimated as sum energies of the various loops and sub-structures

RNA Structures and Complexity of Predicting their shape

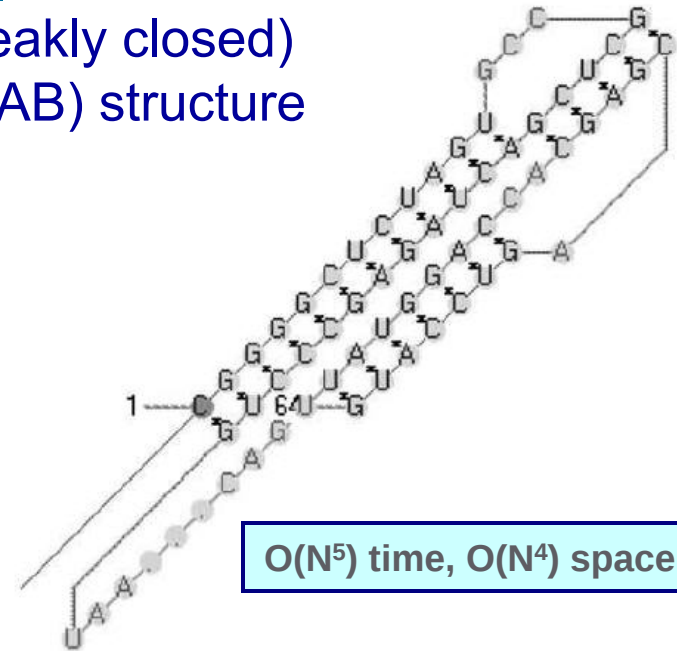


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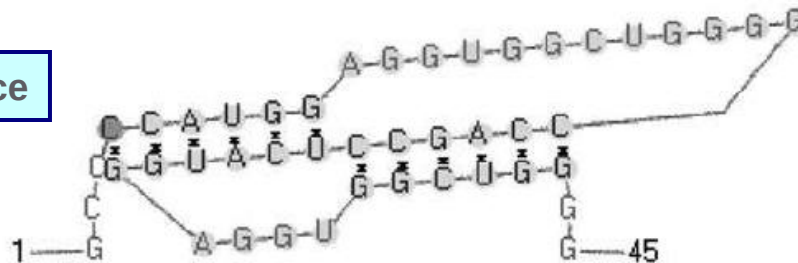
- Left - a pseudoknot-free structure (weakly closed)
- Center - an H-Type pseudoknotted (ABAB) structure
- Right - a kissing hairpin (ABACBC)



$O(N^3)$ time, $O(N^2)$ space



$O(N^5)$ time, $O(N^4)$ space



$O(N^4)$ time, $O(N^2)$ space

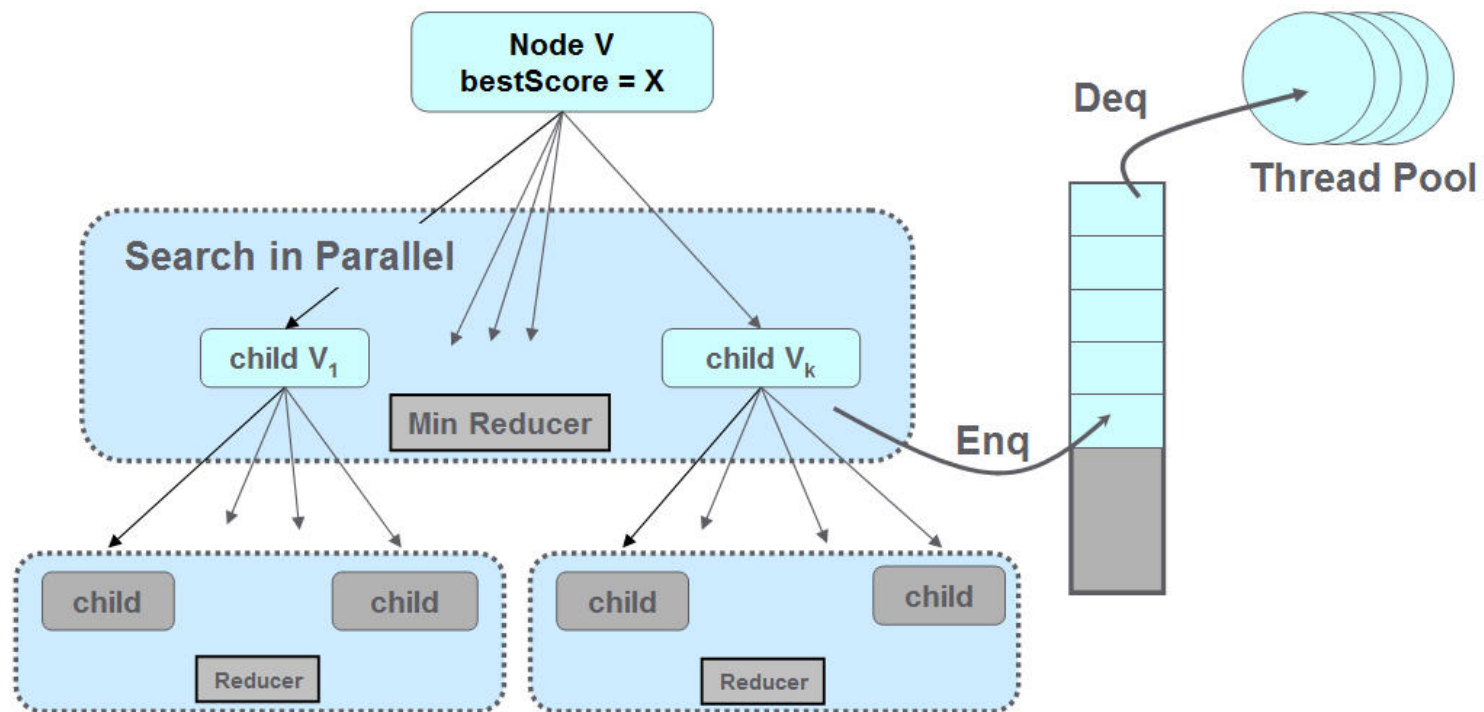
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Recursion allows RNA Foldings to be solved in Parallel

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Search the various possible RNA foldings using search trees
Use Branch and Bound to cut off bad choices
Use Parallelism to search multiple branches at the same time on different CPUs



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Key Things to take away:

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Algorithm Analysis:

- Software must make efficient use of resources such as CPU and memory
- Algorithm Analysis is an important fundamental computer science topic
- The order of an algorithm is found by eliminating constants and all but the dominant term in the algorithm's growth function
- When an algorithm is inefficient, a faster processor will not help
- Analyzing algorithms often focuses on analyzing loops
- Time complexity of a loop is found by multiplying the complexity of the loop body times the number of times the loop is executed.
- Time complexity for nested loops must multiply the inner loop complexity with the number of times through the outer loop